

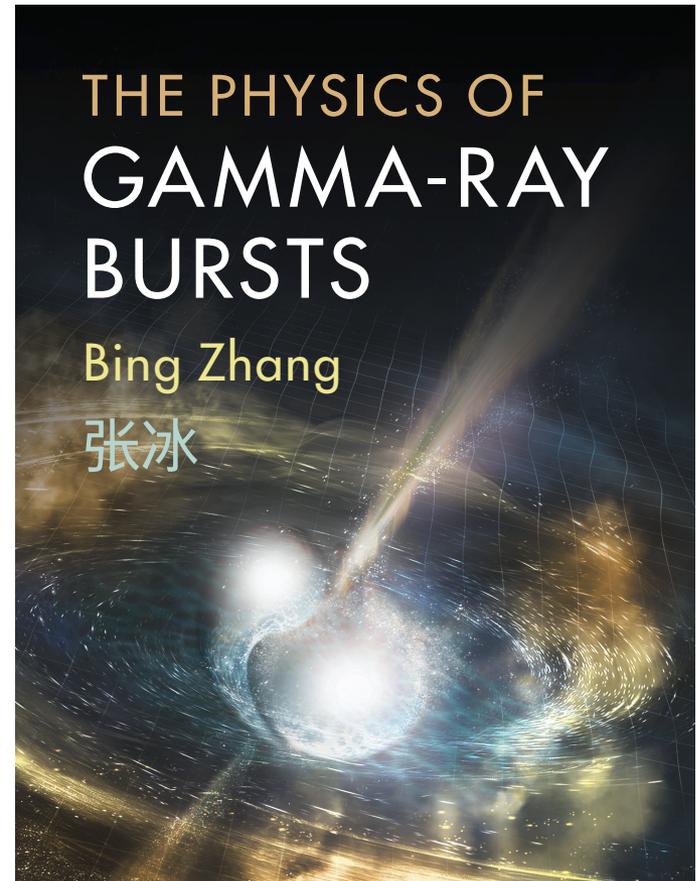
# GRB Prompt Emission Theory

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Yokohama, Kanagawa, Japan,  
Oct. 28 – Nov. 1, 2019





# GRB central engine defined by $(\eta, \sigma_0)$

- Energy per baryon  $\gg 1$
- Energy in three forms
  - Thermal:  $\eta, \Theta$
  - Magnetic:  $\sigma$
  - Kinetic:  $\Gamma$

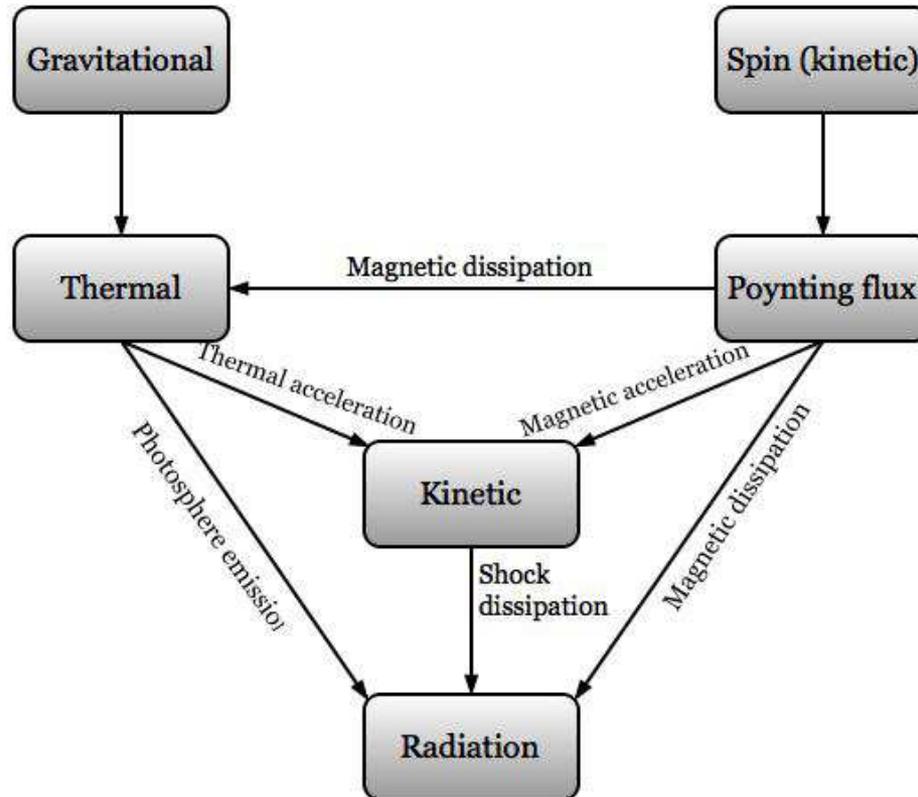
$$\mu_0 = \frac{E_{\text{tot},0}}{Mc^2} = \frac{E_{\text{th},0} + E_{\text{P},0}}{Mc^2} = \eta(1 + \sigma_0).$$

Neglect radiation loss, one has

$$\mu_0 = \eta(1 + \sigma_0) = \Gamma\Theta(1 + \sigma).$$

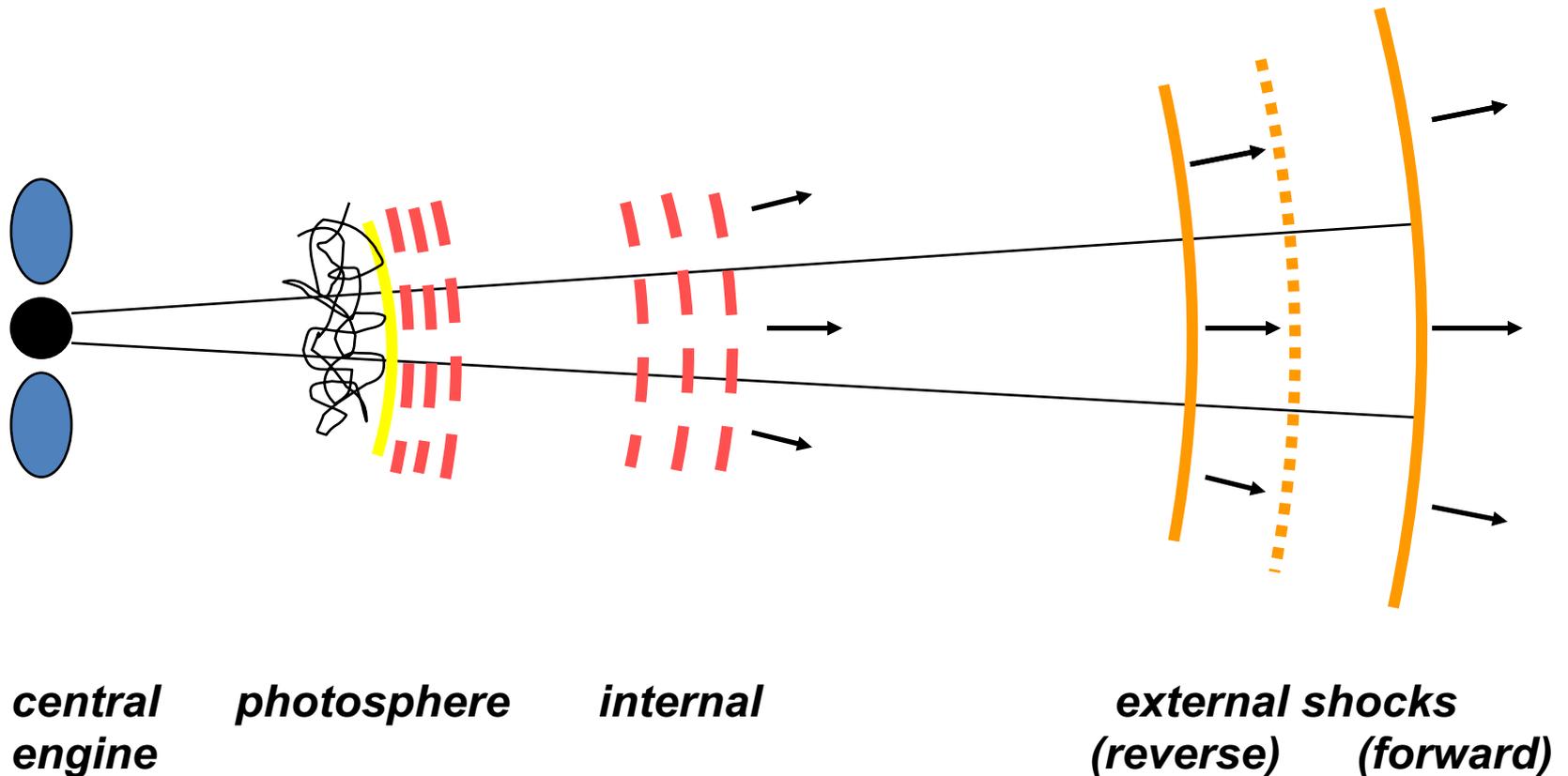
$$\Gamma_{\text{max}} = \mu_0 \simeq \begin{cases} \eta, & \sigma_0 \ll 1; \\ \sigma_0, & \eta \sim 1, \sigma_0 \gg 1. \end{cases}$$

# Energy Flow in GRBs

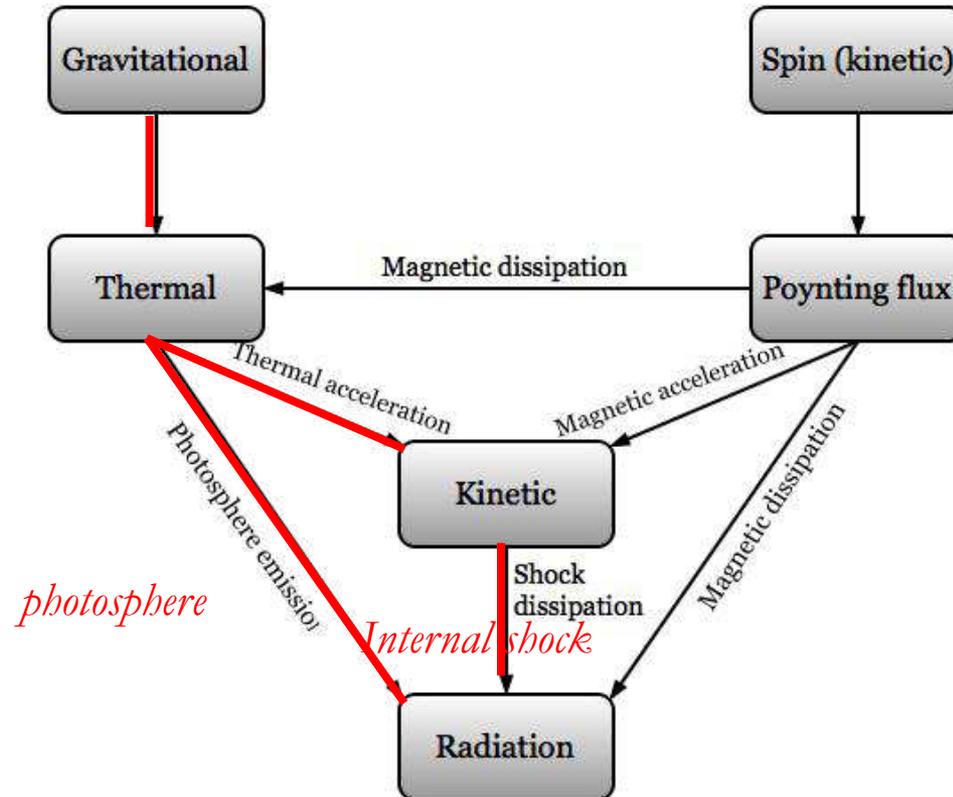


# Early GRB model: The fireball shock model

(Paczynski, Meszaros, Rees, Piran, Sari, ...)



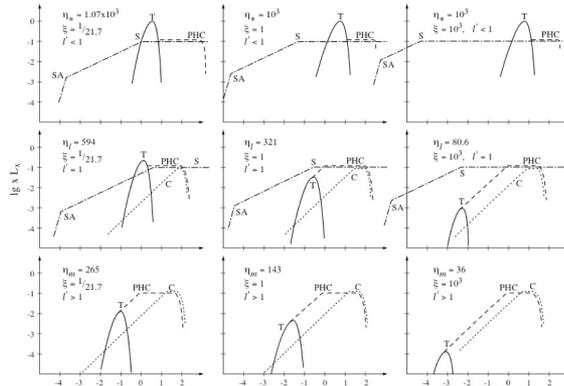
# Energy Flow in GRBs



*photosphere*

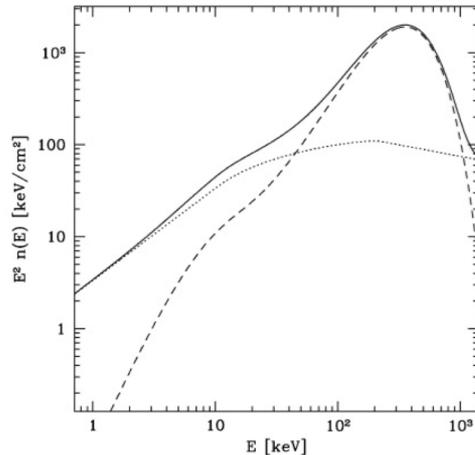
*Fireball model*

# Before Fermi: Fireball Predictions: Internal shock synchrotron vs. photosphere

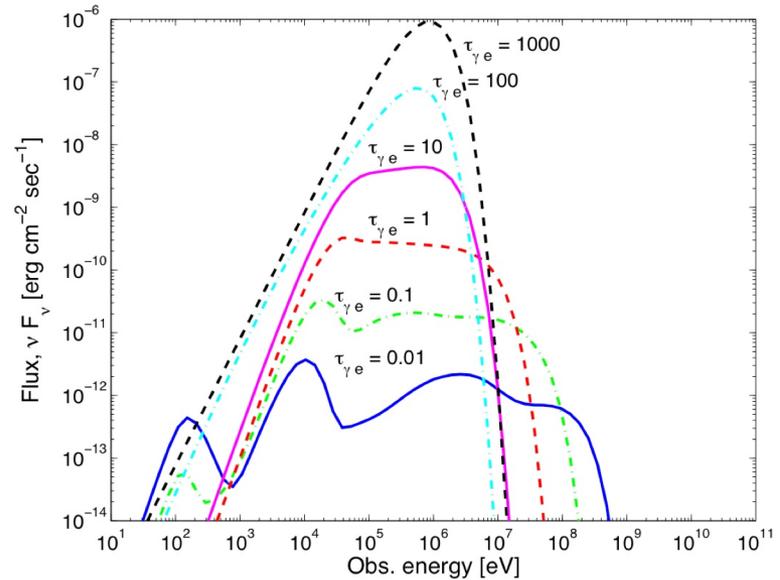


Meszaros & Rees (00)

1276 F. Daigne and R. Mochkovitch



Daigne & Mochkovitch (02)

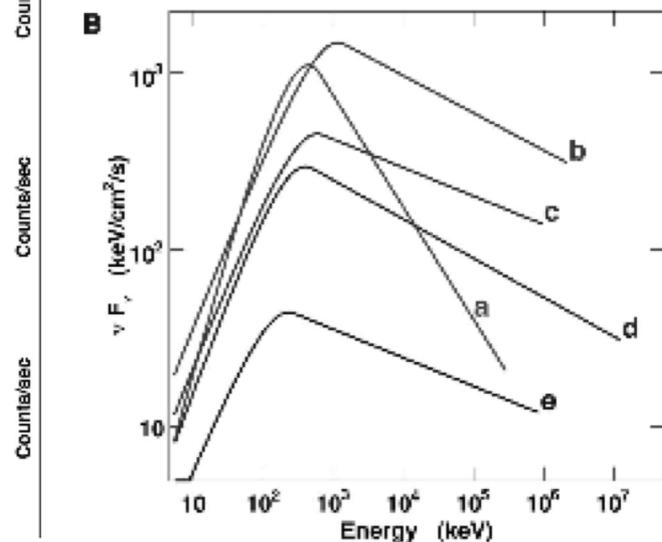
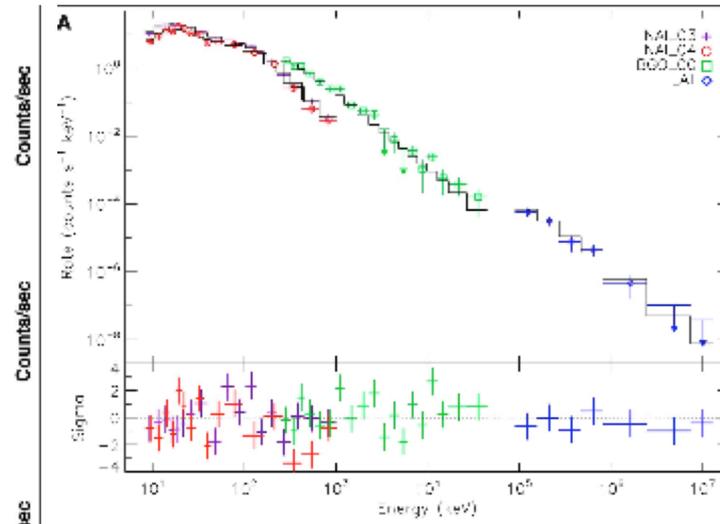
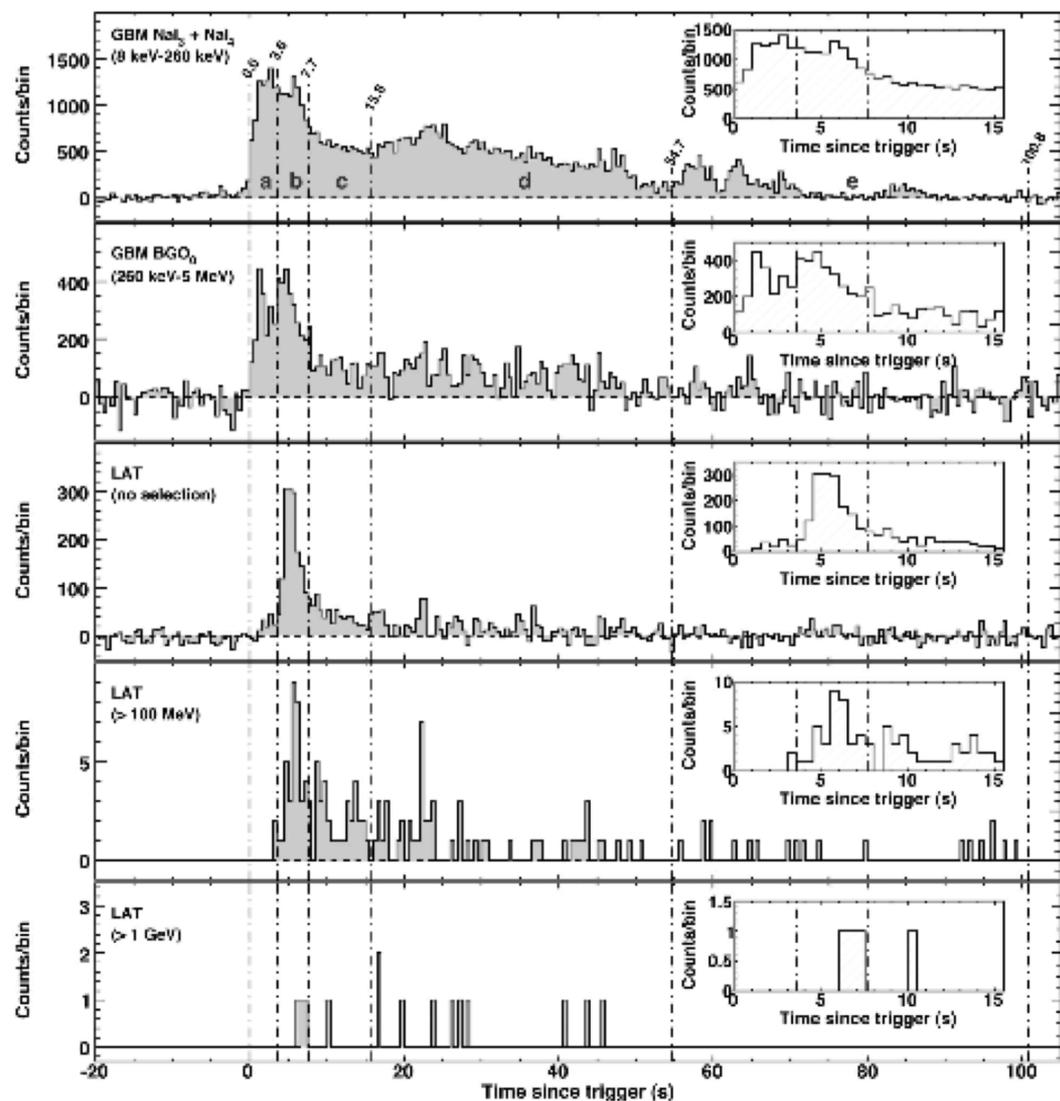


Pe'er et al. (06)

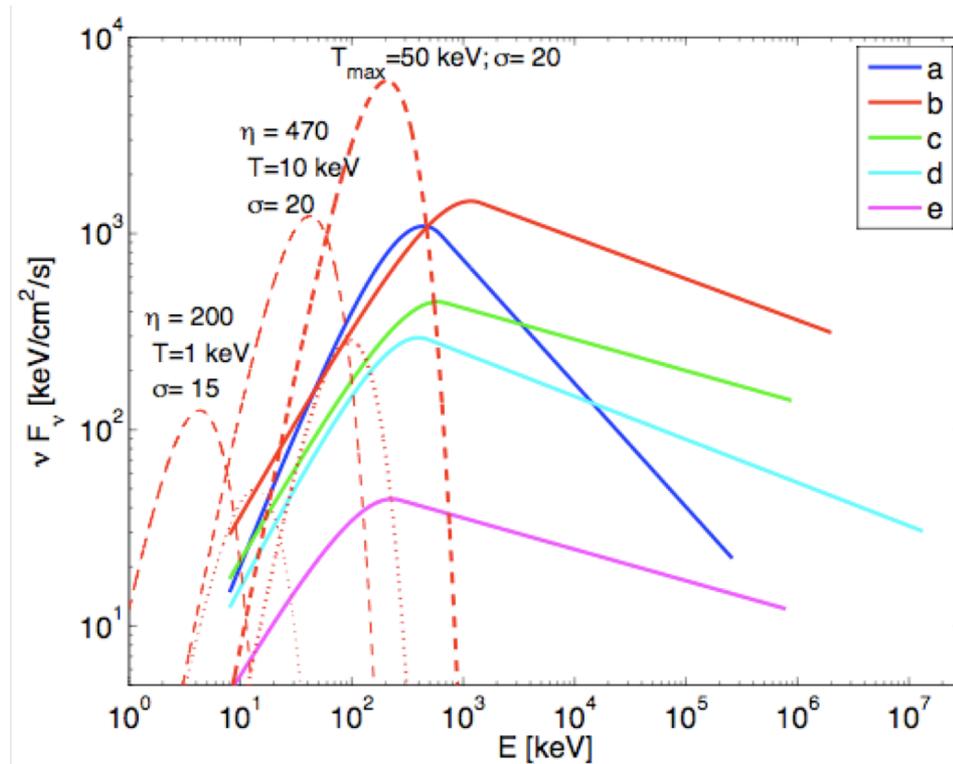
# Fermi surprise: GRB 080916C

(Abdo et al. 2009, Science)

$z = 4.35 \pm 0.15$



# Fermi Surprise: Photosphere component missing



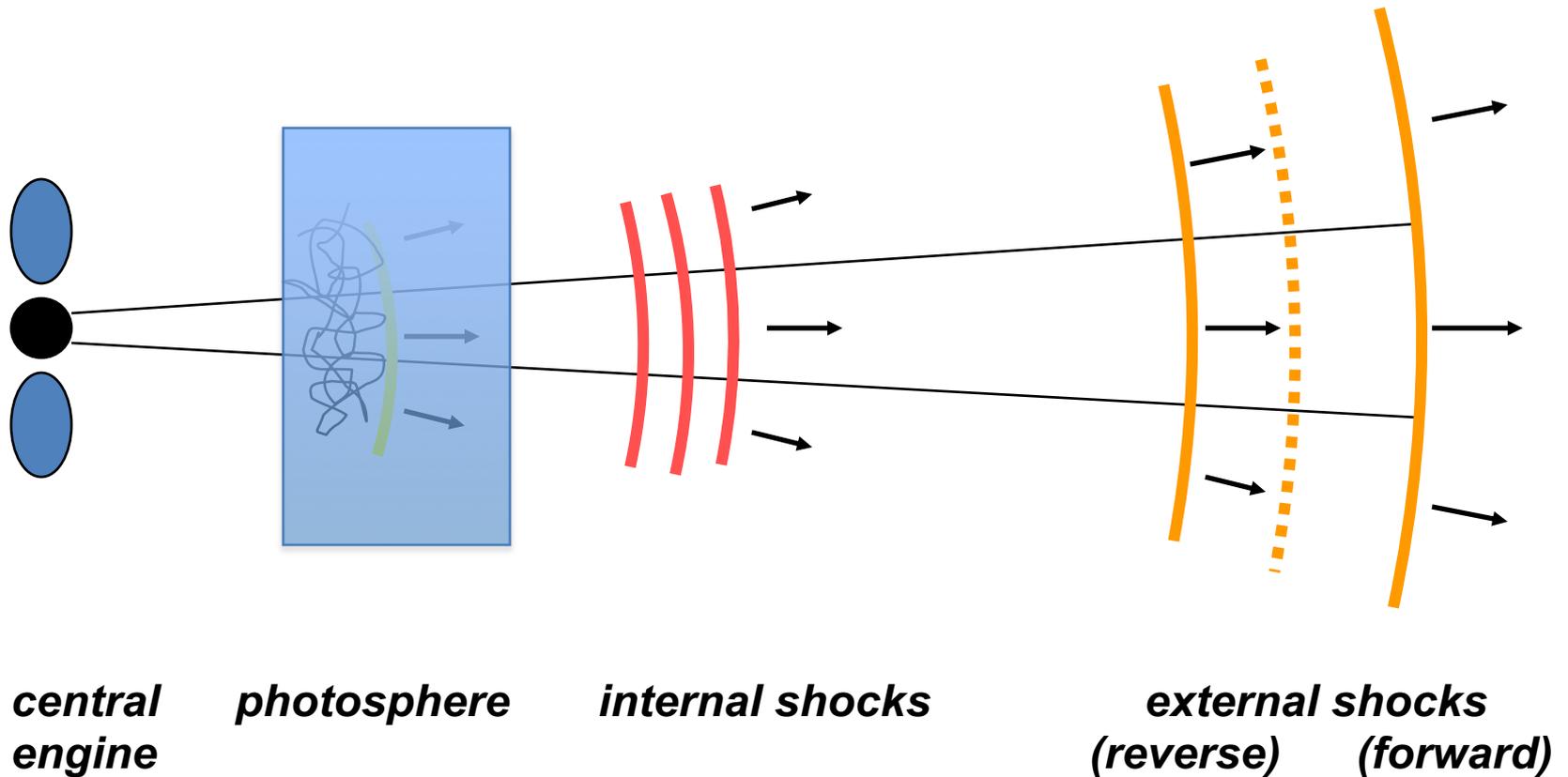
*Zhang & Pe'er  
(2009)*

*Sigma: ratio between Poynting flux and baryonic flux:*

*Cf. Guiriec et al. (2015)*

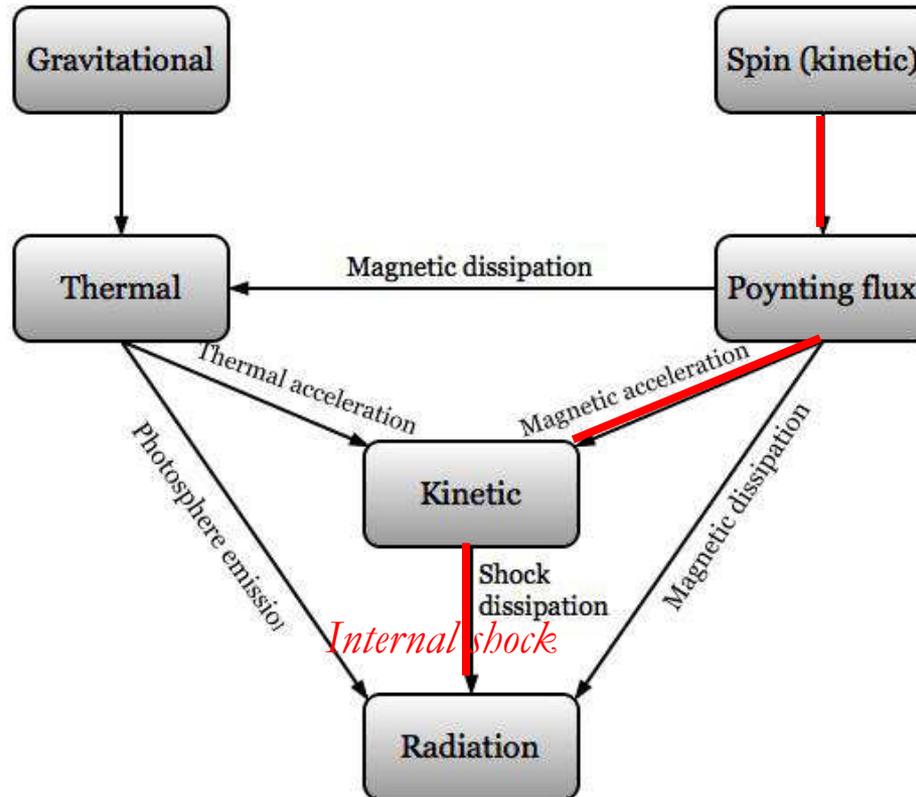
$\sigma = L_P/L_b$ : at least  $\sim 20, 15$  for GRB 080916C

# Modified Fireball Model (1)



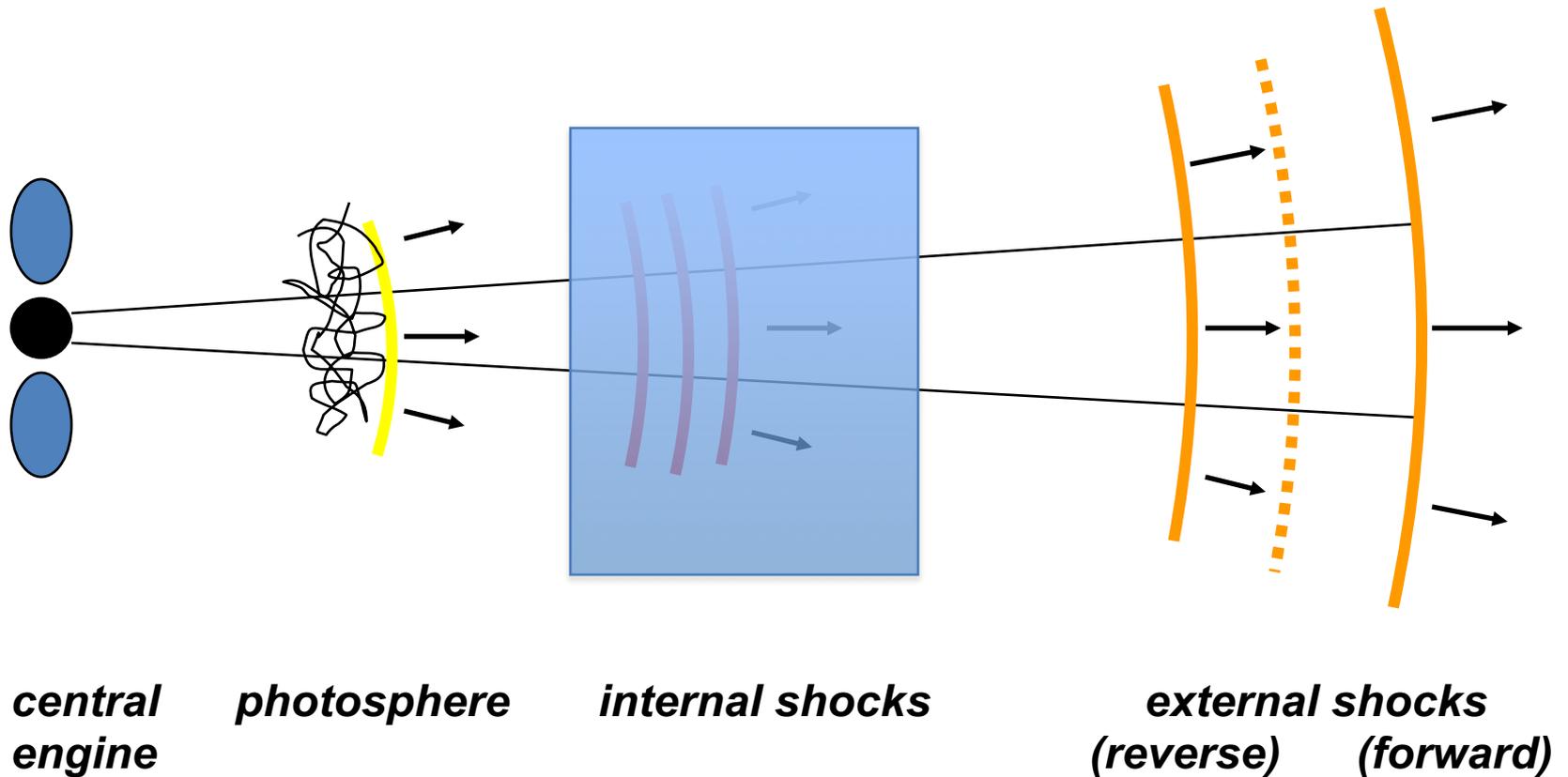
*GRB prompt emission is from internal shocks*  
*Photosphere emission suppressed*

# Energy Flow in GRBs



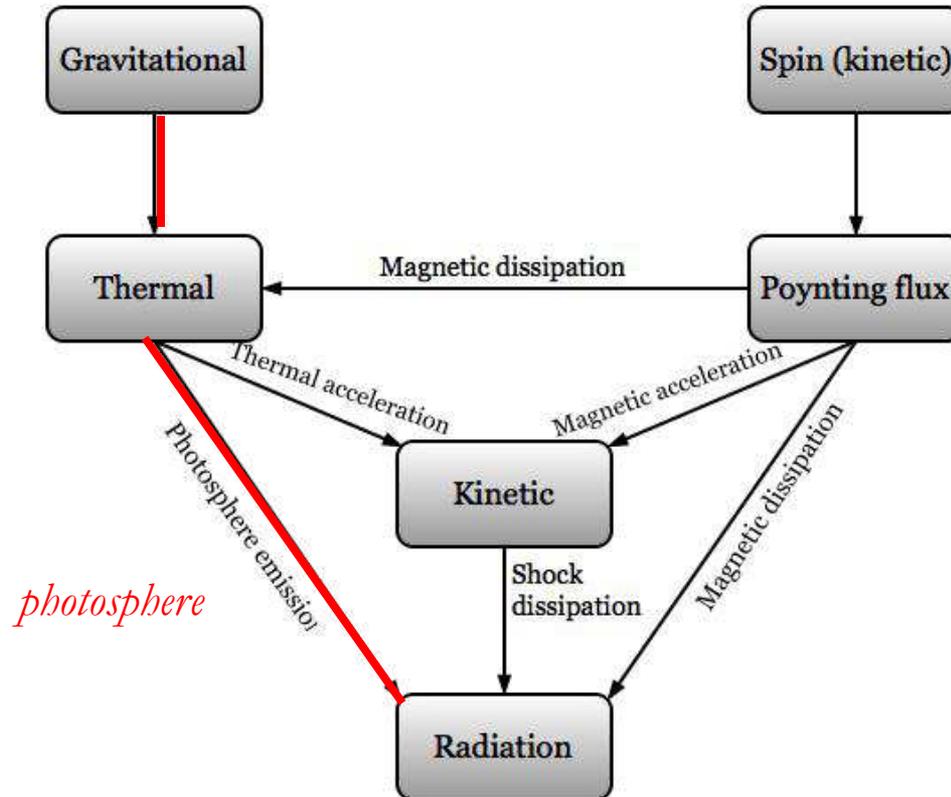
*Initially magnetized internal shock model*

# Modified Fireball Model (2)



*GRB prompt emission: from photosphere*  
*Internal shock emission suppressed*

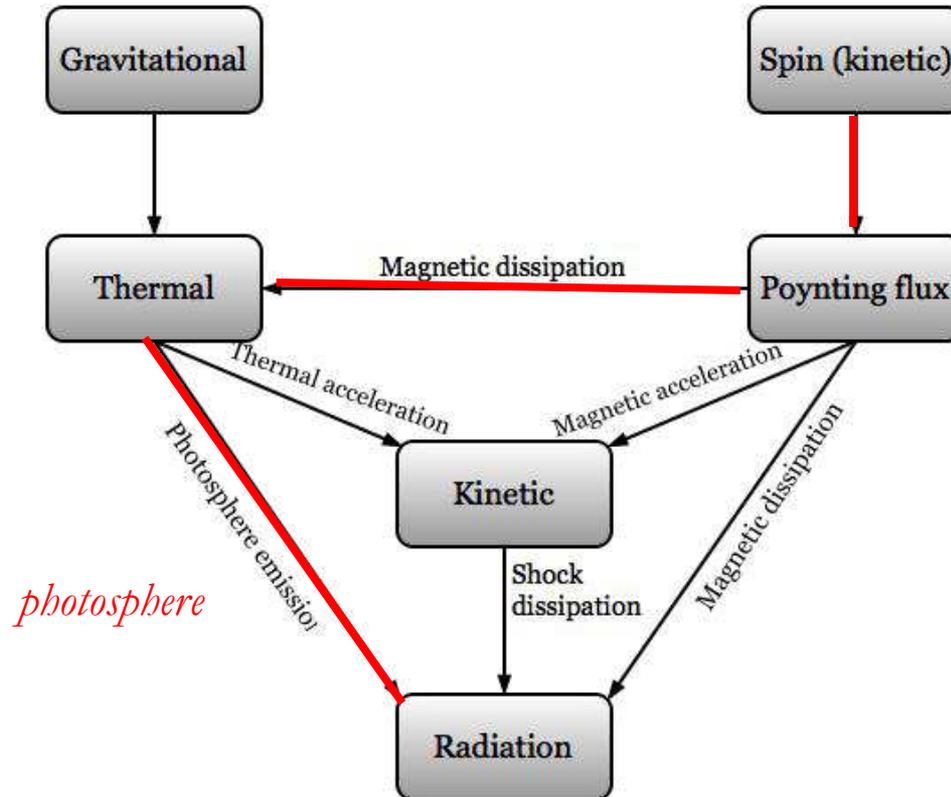
# Energy Flow in GRBs



*photosphere*

*Dissipative photosphere model*

# Energy Flow in GRBs

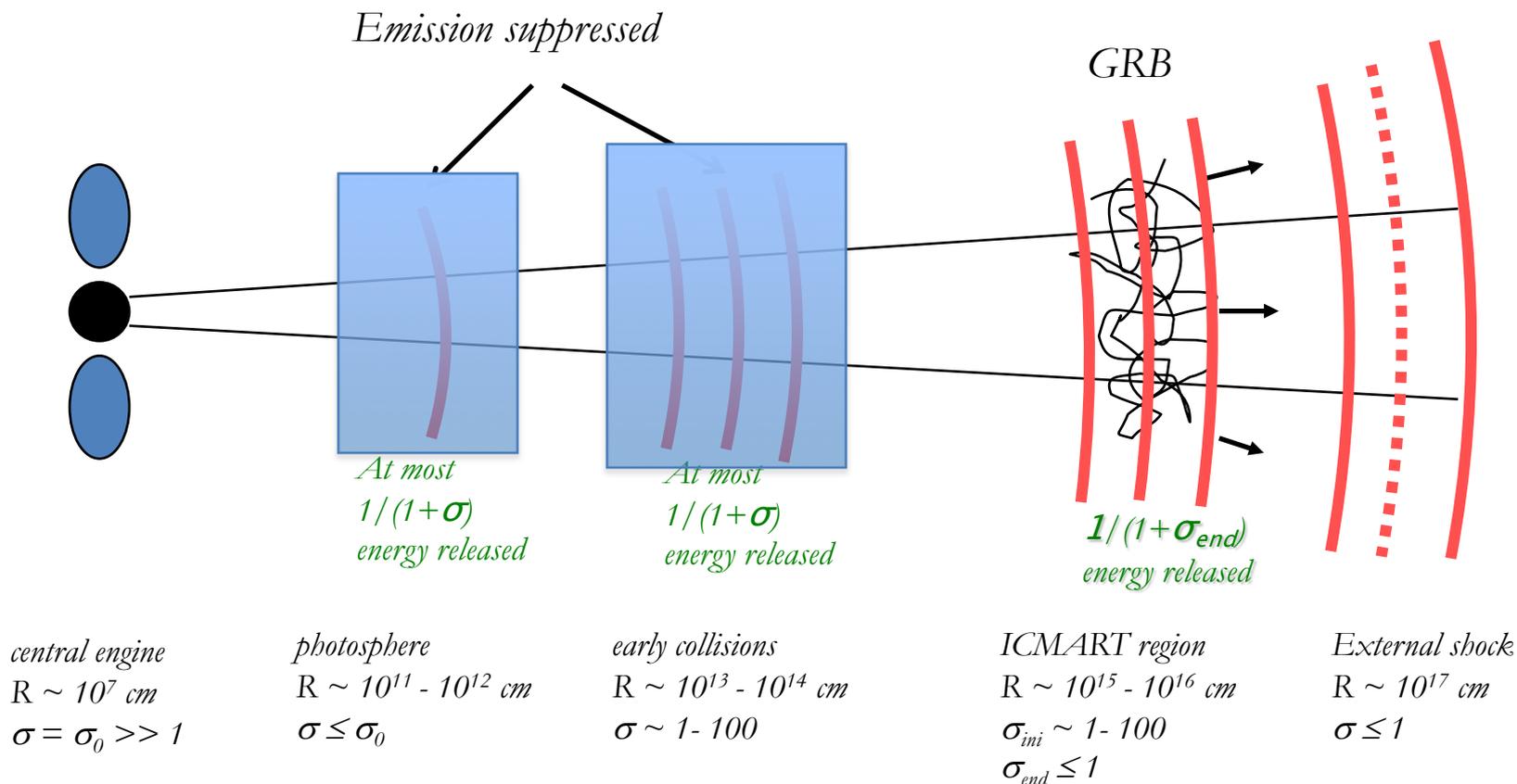


*Magnetically dissipative photosphere model*

# The ICMART Model

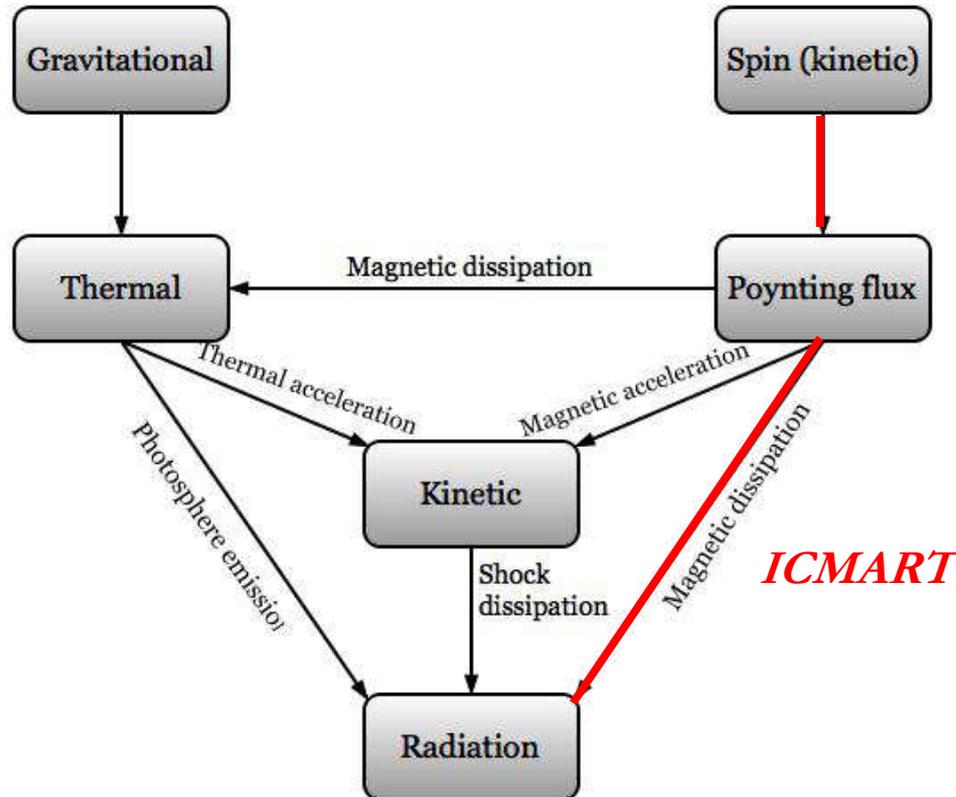
(Internal Collision-induced MAgnetic Reconnection & Turbulence)

Zhang & Yan (2011)



cf: Lyutikov & Blandford (2003)...

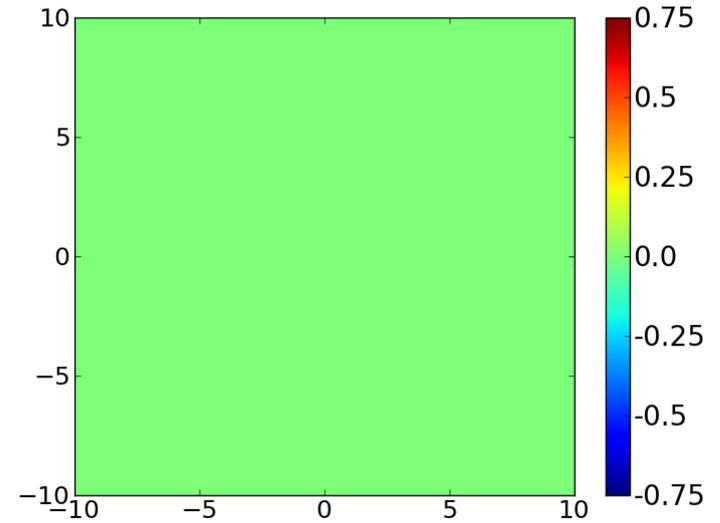
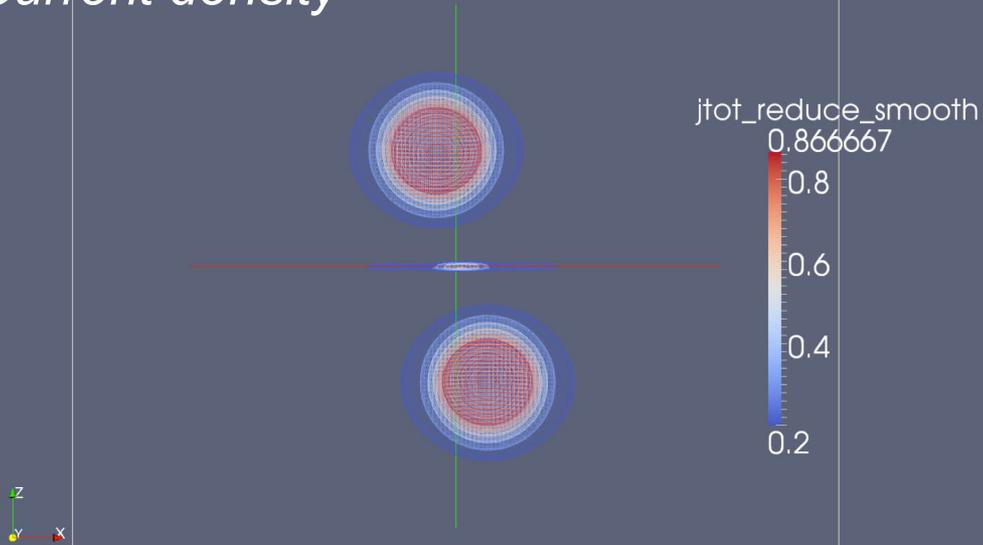
# Energy Flow in GRBs



*ICMART*

*Internal collision-induced magnetic reconnection & turbulence (ICMART) model*

## Current density

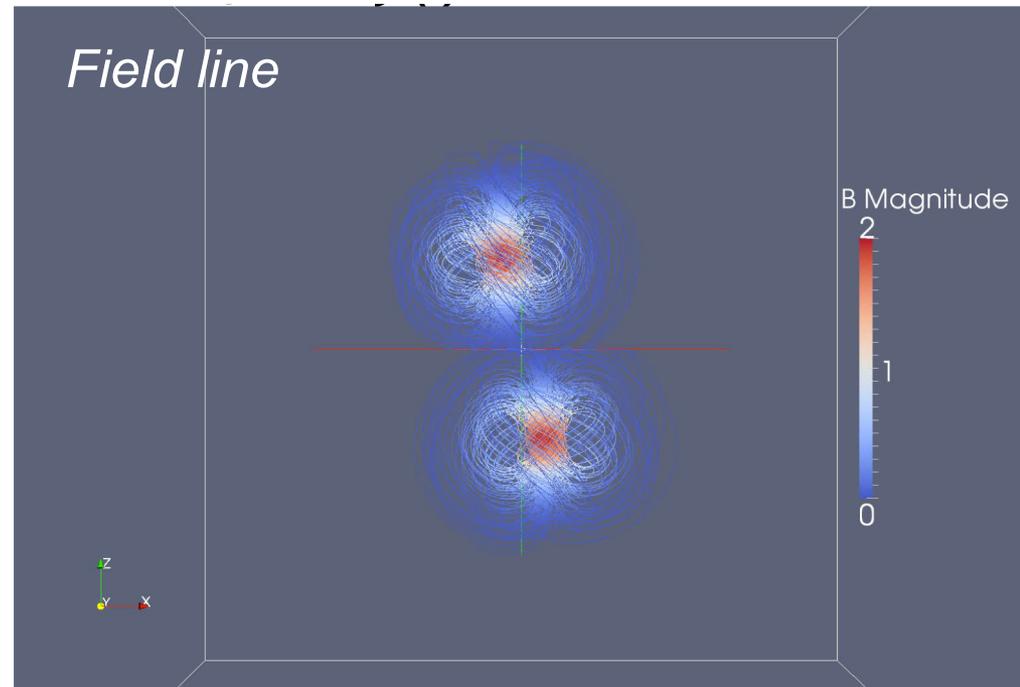


## ICMART simulations:

- \* High efficiency
- \* Relativistic mini-jets

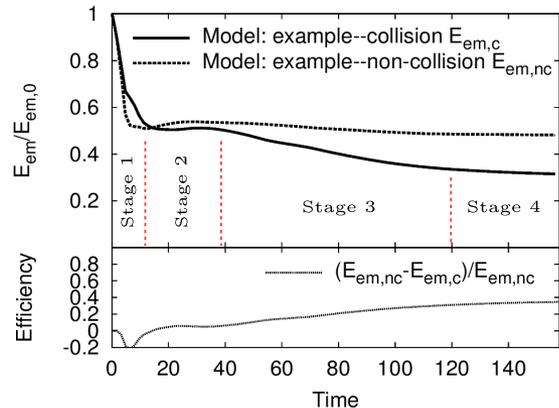
Deng et al. 2015, ApJ, 805, 163

## Field line



# ICMART simulations

(Deng et al., 2015)



$$(\Gamma_2 m_2 + \Gamma_1 m_1)(1 + \sigma_{\text{ini}}) = \Gamma_m (m_1 + m_2 + U')(1 + \sigma_{\text{end}})$$

$$(\Gamma_2 \beta_2 m_2 + \Gamma_1 \beta_1 m_1)(1 + \sigma_{\text{ini}}) = \Gamma_m \beta_m (m_1 + m_2 + U')(1 + \sigma_{\text{end}})$$

$$\eta_{\text{ICMART}} = \frac{\Gamma_m U'}{(\Gamma_1 m_1 c^2 + \Gamma_2 m_2 c^2)(1 + \sigma_{\text{ini}})}$$

$$= \frac{1}{1 + \sigma_{\text{end}}} - \frac{\Gamma_m (m_1 + m_2)}{(\Gamma_1 m_1 + \Gamma_2 m_2)(1 + \sigma_{\text{ini}})}$$

$$\simeq \frac{1}{1 + \sigma_{\text{end}}} \text{ (if } \sigma_{\text{ini}} \gg 1 \text{).}$$

*Zhang & Yan (2011)*

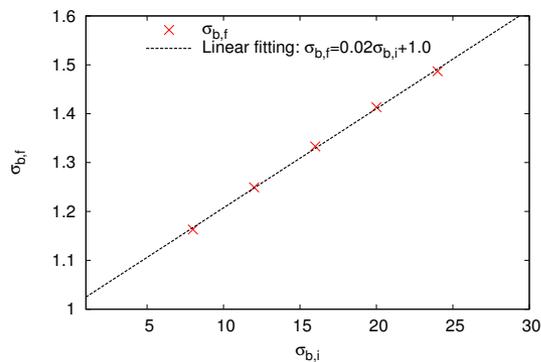
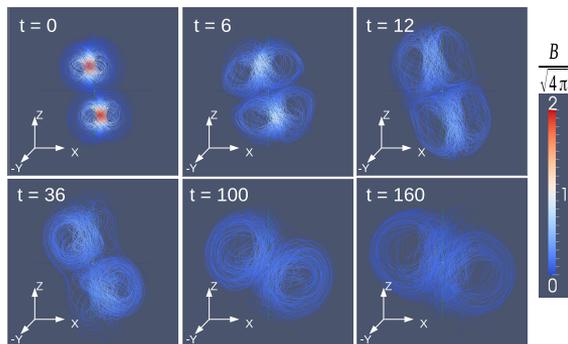


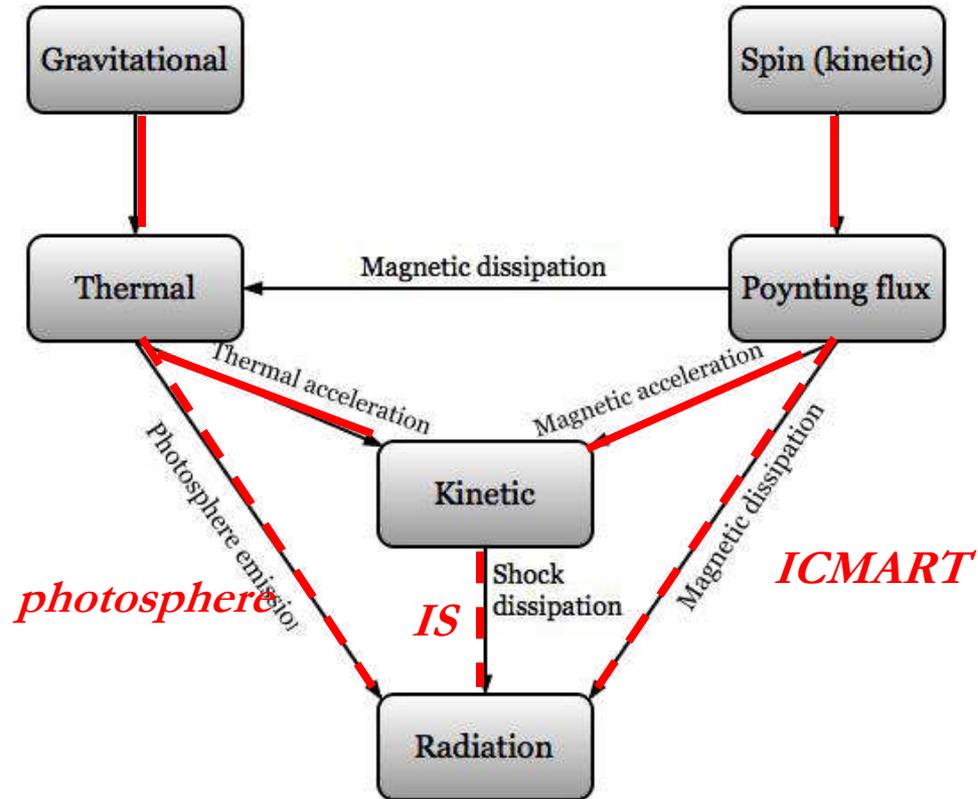
TABLE 4

$\sigma_{b,f} - \sigma_{b,i}$  RELATION AND THE ANALYTICAL VS. NUMERICAL EFFICIENCIES.

$\sigma_{b,i}$	$\sigma_{b,f}$	Efficiency (analytical)	Efficiency (numerical)
8	1.16	35.7%	33.3%
16	1.33	37.3%	34.4%
24	1.49	36.4%	34.7%

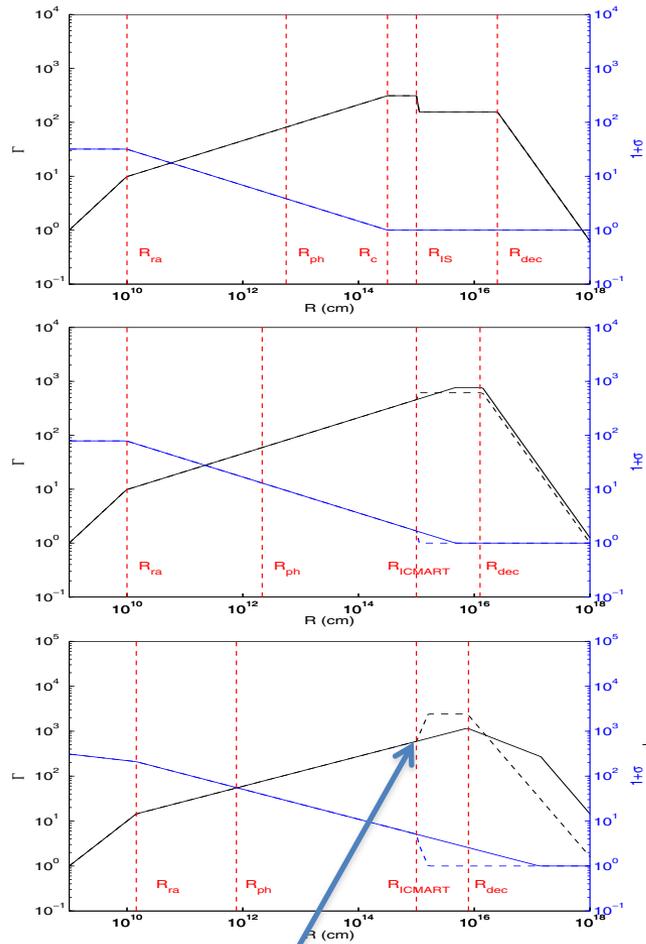
*Deng et al. (2015)*

# Energy Flow in GRBs

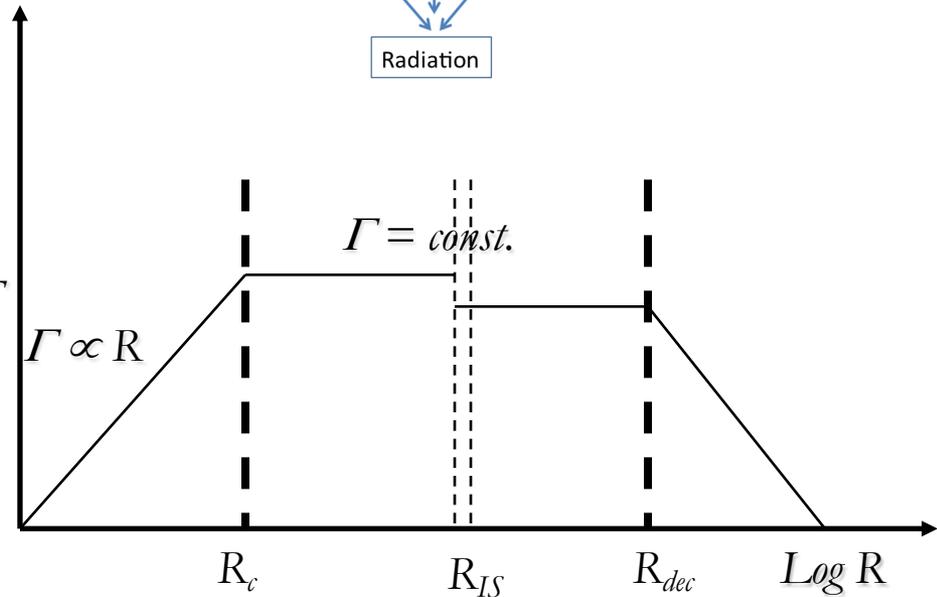
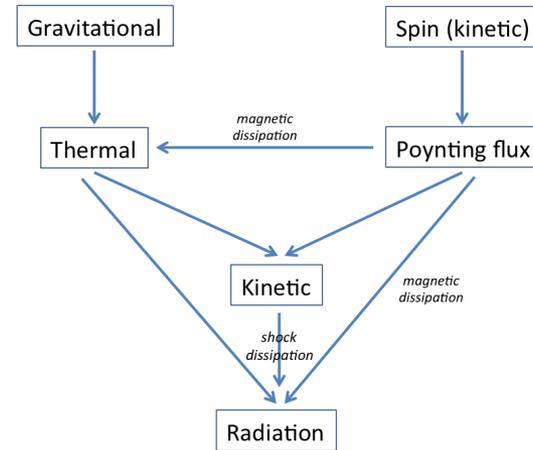


*Hybrid models*

# Initial central engine parameters ( $\eta, \sigma_0$ ) define jet dynamics and dissipation physics

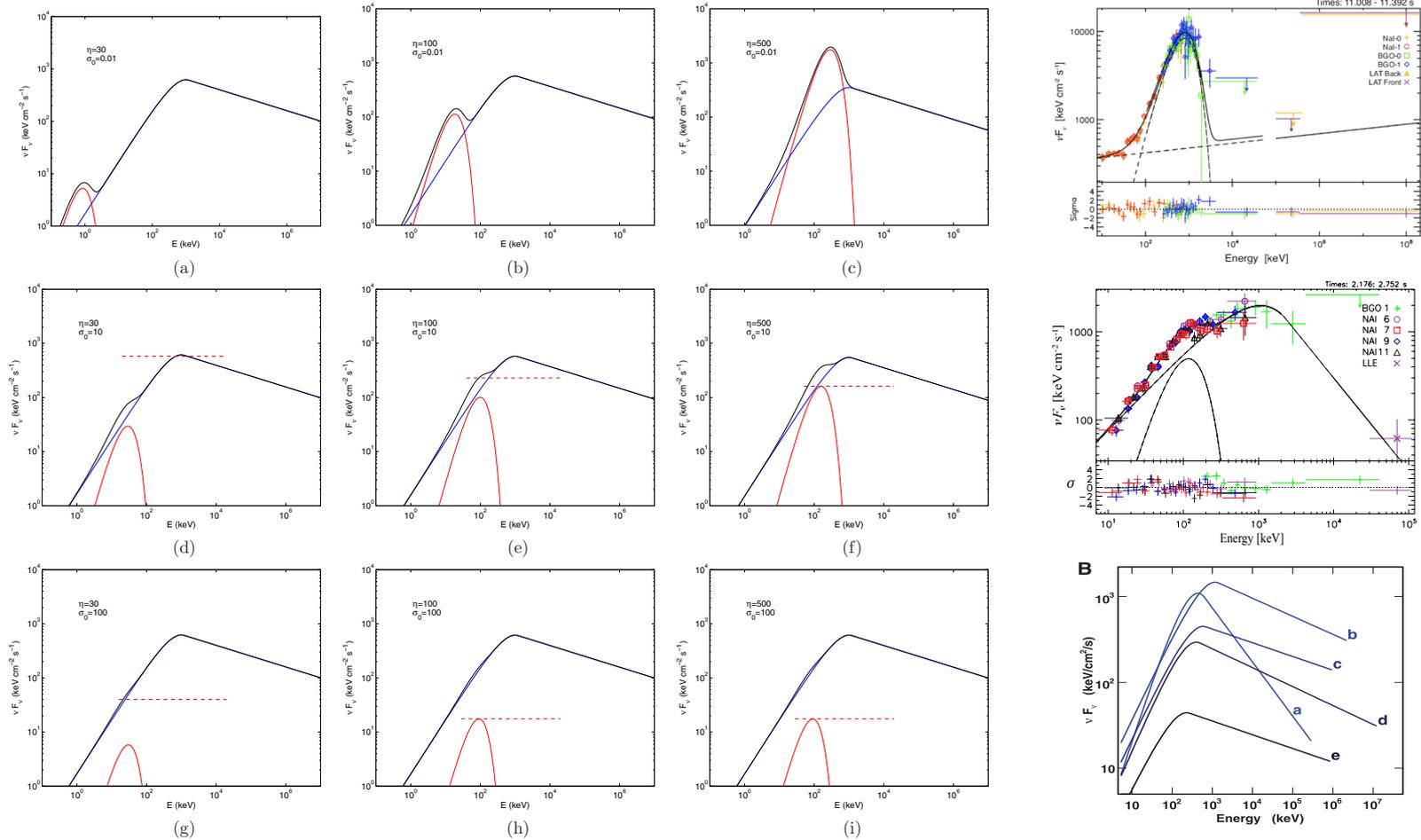


Gao & Zhang (2015)



*ICMART key prediction: emission site undergo acceleration as magnetic energy is dissipated!*

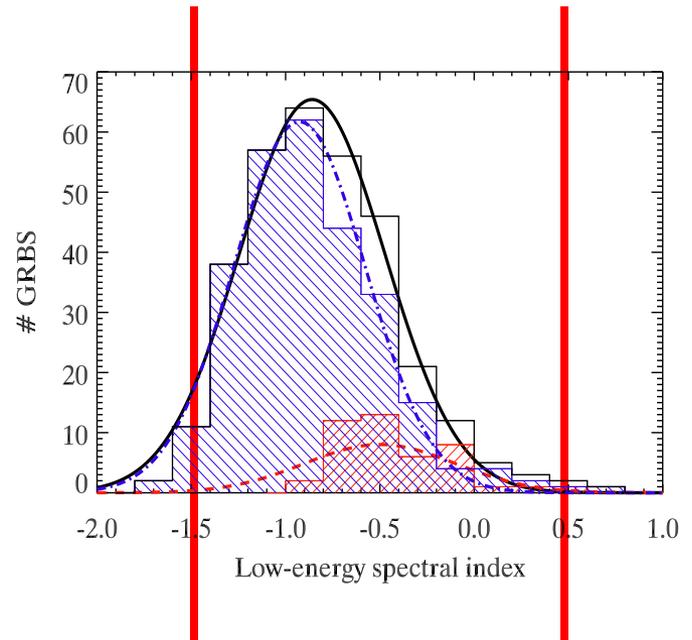
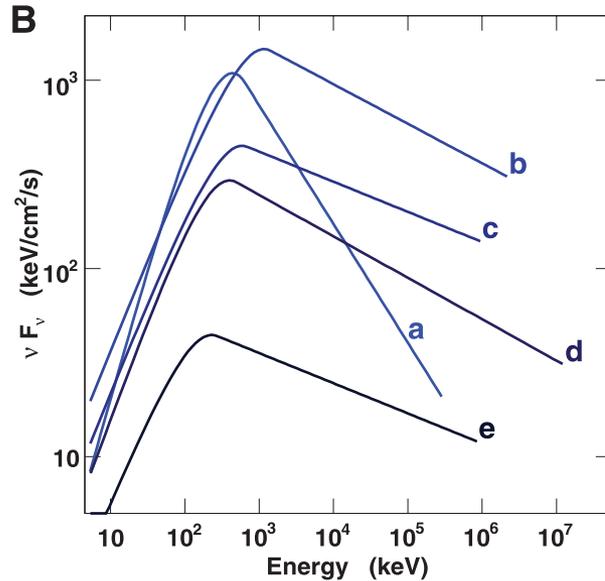
# Hybrid model (thermal + non-thermal)



How to tell which picture is correct  
from the data?

# Spectral properties

# Debate ( $\alpha$ battle): What is the origin of the “Band” component?



*Two distinct views:*

- The Band component is the *synchrotron emission* in optically-thin region.
- The Band component is reprocessed *quasi-thermal emission* in a dissipative photosphere.

*Simplest  
synchrotron  
prediction*

*Simplest  
photosphere  
prediction*

*Nava et al. (2011)*

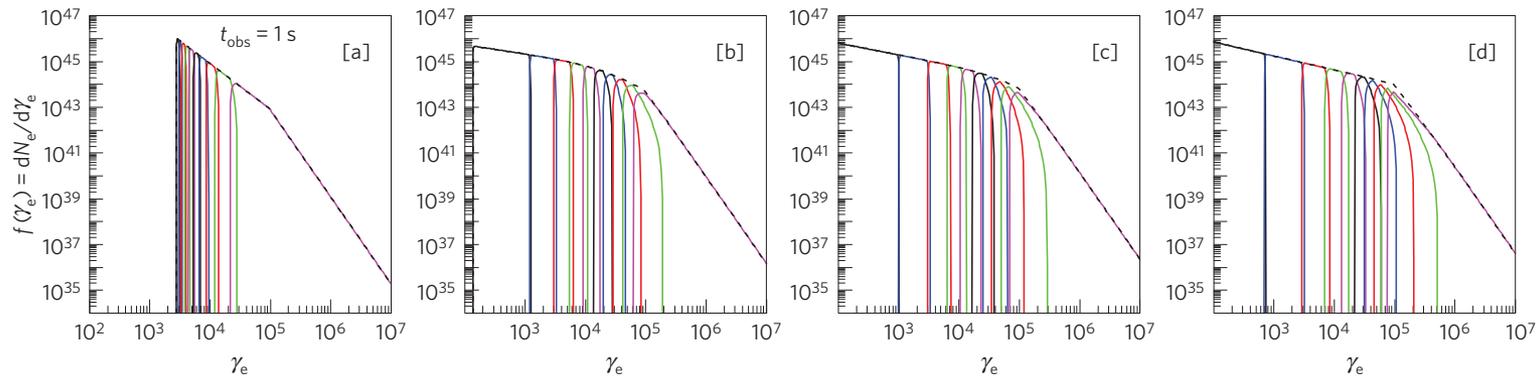
*cf. Burgess' talk, Oganessian's talk, Ryde's talk*

# Synchrotron Model:

## Fast Cooling Spectrum Can Be Harder!

(Uhm & Zhang, 2014, Nature Physics, 10, 351)

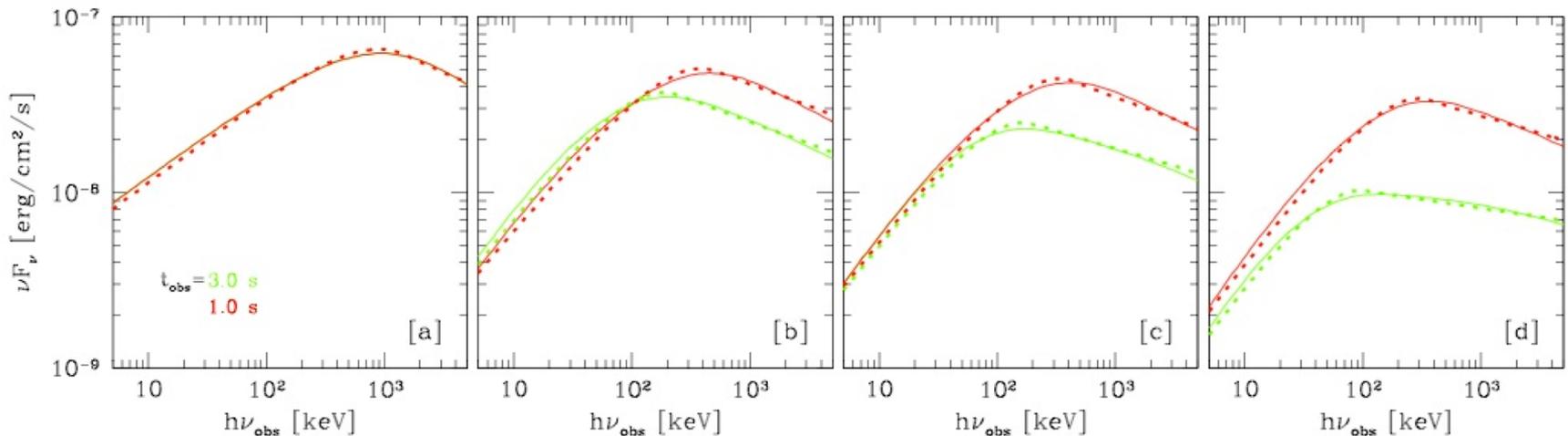
- B is decreasing with radius
- Electrons are not in steady state
- Electron spectrum deviates significantly from -2 below the injection energy



# Synchrotron Model: close to (slightly wider than) the “Band” Function

(Uhm & Zhang, 2014, Nature Physics, 10, 351)

- In the BATSE or GBM band, the spectrum mimics a “Band” function with “correct” indices:  $\alpha \sim -1$ ,  $\beta \sim -2.2$

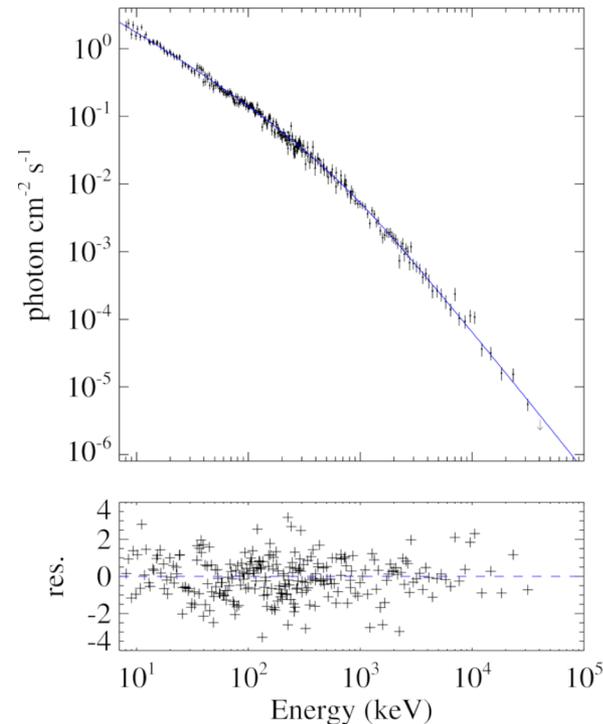
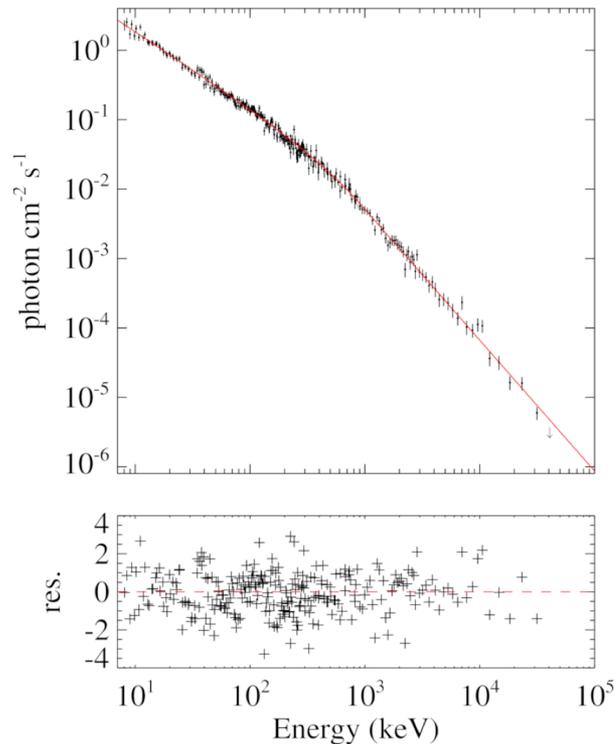


Requirement: **Large emission radius where B is low!**

# “Band” Function is made from synchrotron

(B.-B. Zhang et al., 2016)

- One should apply models directly to data!
- Example: GRB 130606B – no difference between synchrotron and Band models in terms of goodness of fitting



*Band & synchrotron model fits*

*See also talks of Burgess, Guirec, Oganessian, Ravasio, Piron ...*

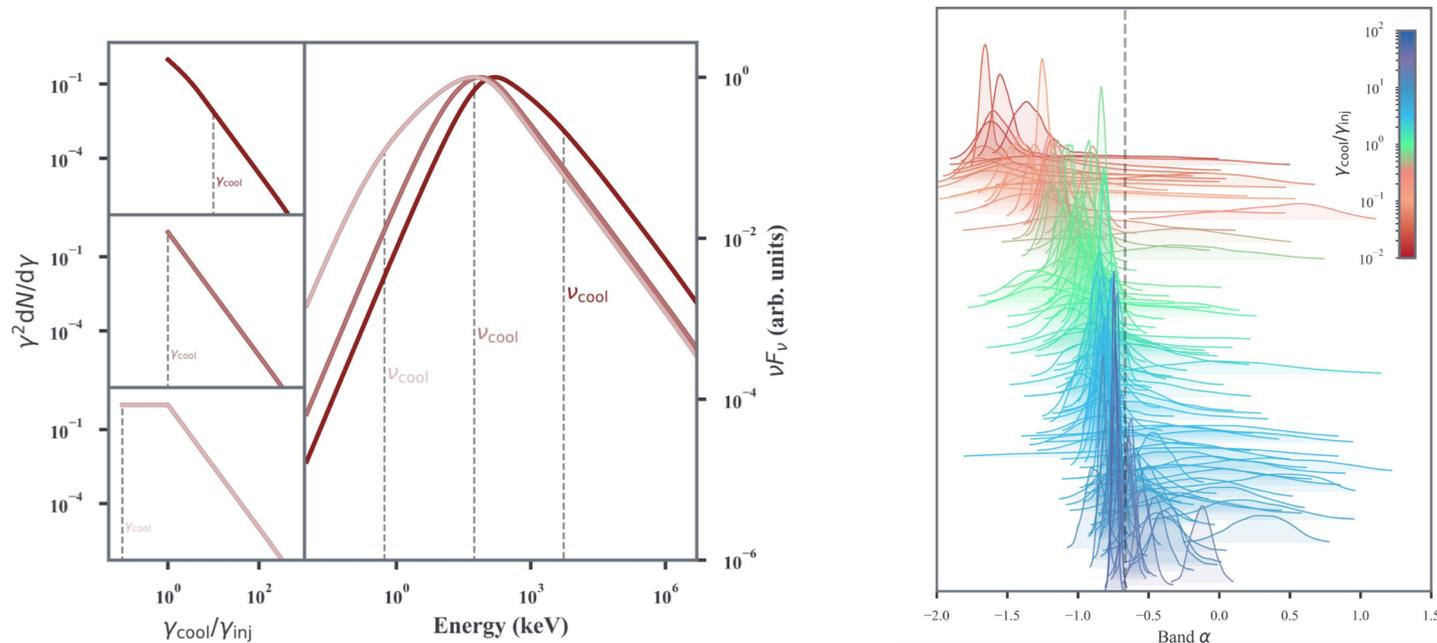
# Gamma-ray bursts as cool synchrotron

2019, *Nature Astronomy*

## sources

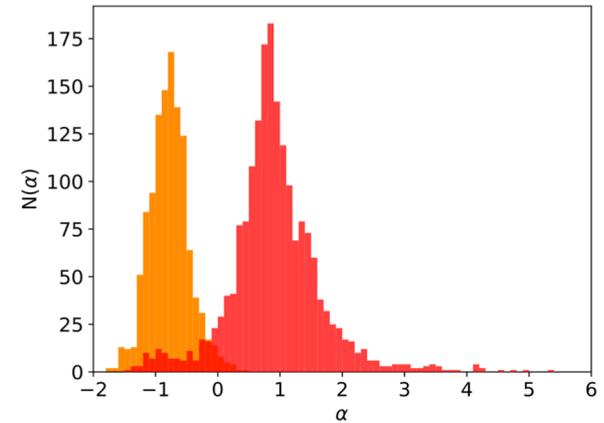
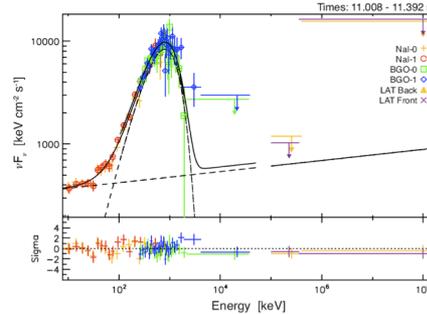
J. Michael Burgess<sup>1,2</sup>, Damien Bégué<sup>1</sup>, Ana Bacelj<sup>1,3</sup>, Dimitrios Giannios<sup>4</sup>, Francesco Berlato<sup>1,5</sup>, and Jochen Greiner<sup>1,2</sup>

Here we show that idealized synchrotron emission, when properly incorporating time-dependent cooling of the electrons, is capable of fitting ~95% of all time-resolved spectra of single-peaked GRBs as measured with Fermi/GBM. The comparison with spectral fit results based on previous empirical models demonstrates that the past exclusion of synchrotron radiation as an emission mechanism derived via the line-of-death was misleading. Our analysis probes the physics of these ultra-relativistic outflows and the

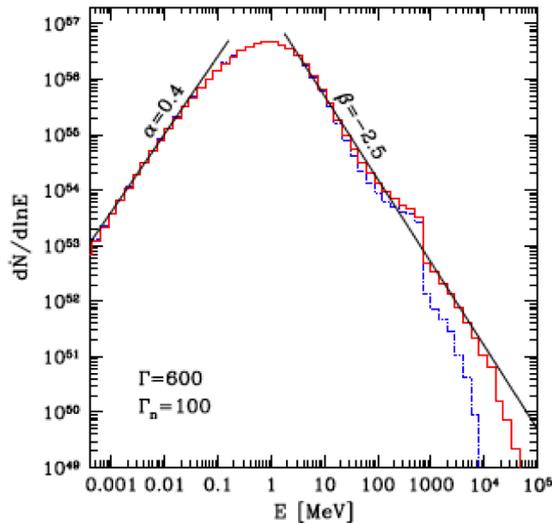


# Band Function from Photosphere Emission

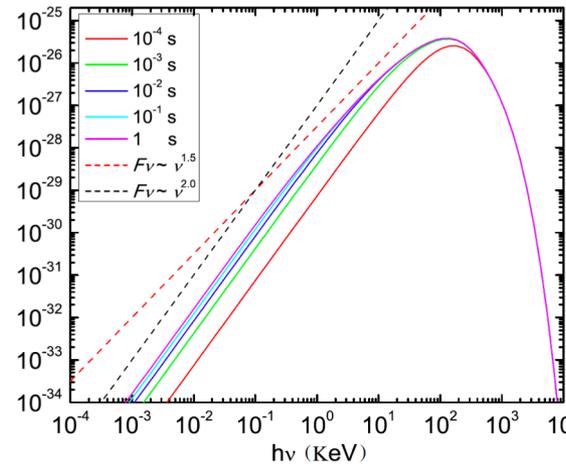
- Low-energy photon index
  - Typically hard
$$F_\nu \sim \nu^{1.5} \quad (\alpha \sim +0.5)$$
  - Narrow Peak
- May reach -1 for some special types of structured jets, but not -1.5
- Mechanism for 090902B-like GRBs
- Not easy to interpret “typical” Band



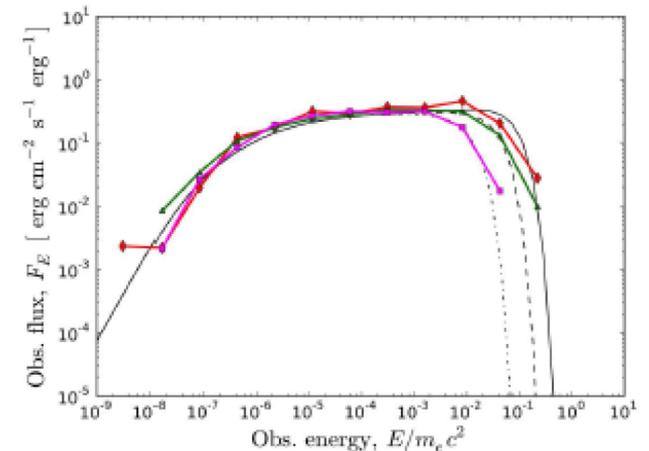
*Parsotan et al., 2018, ApJ, 869, 103*



*Beloborodov, 2010, MNRAS, 407, 1033*



*Deng & Zhang, 2014, ApJ, 785, 112*



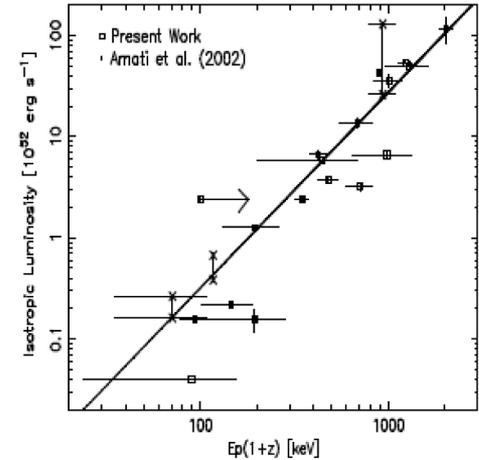
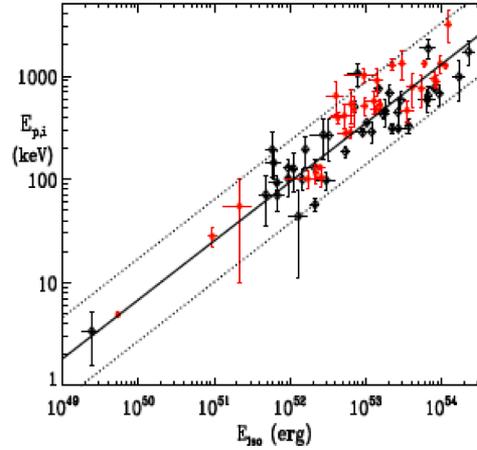
*Lundman, Pe'er & Ryde, 2013, MNRAS, 428, 2430*

# Correlations

(Amati / Yonetoku / Liang)

# Amati / Yonetoku relations

- One may not be too proud of reproducing the relations
- In all models,  $E_p$  is a function of  $L$  and  $\Gamma$ . The relations can be reproduced given a particular  $L$ - $\Gamma$  relation.



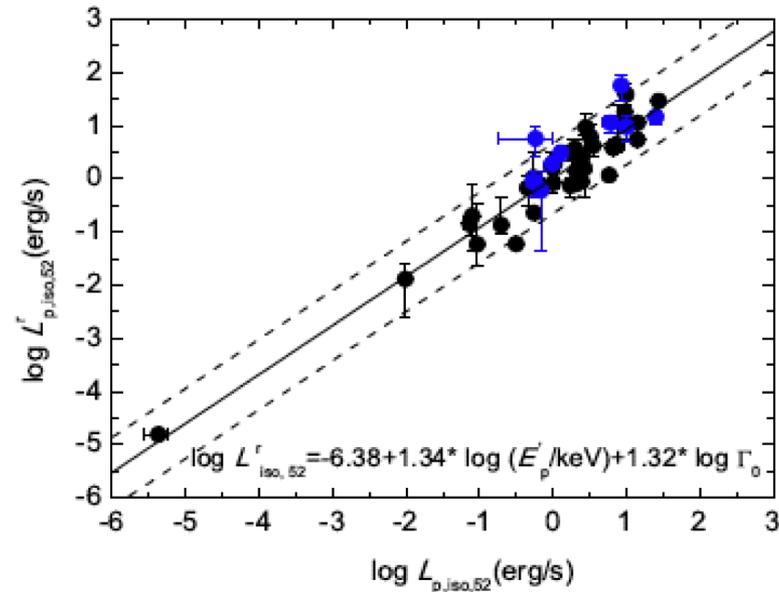
*Amati et al. 2002; Yonetoku et al. 2004*

TABLE 1  
MODEL PREDICTIONS FOR  $E_p$

Models	Subcategories	$E_p$	Comments
Internal models .....	Low $\sigma$ : internal shocks	$\propto \epsilon_{x3} L^{1/2} \Gamma^{-2} t_v^{-1} (1+z)^{-1}$	$\gamma_e^{(1)}$ relevant
	High $\sigma$ : magnetic dissipation	$\propto \epsilon_{x5} \Gamma (1+z)^{-1}$	$\gamma_e^{(2)}$ relevant
	Pair photosphere	$\propto \Gamma t_{\pm}' / t_{\pm}^2 (1+z)^{-1}$	Comptonized spectrum
External models .....	Low $\sigma$ : external shocks	$\propto \epsilon_{x6} \Gamma^4 n_{\text{ext}}^{1/2} (1+z)^{-1}$	$B$ generated in situ
	High $\sigma$ : plasma-barrier interaction	$\propto \epsilon_{x7} \Gamma^{8/3} L^{1/2} E^{-1/3} n_{\text{ext}}^{1/3} (1+z)^{-1}$	$B$ carried from the wind
Innermost models .....	$\eta < \eta_{c1} \sim 250(1+\sigma)^{-1/5}$	$\propto L^{-5/12} t_{vm}^{1/6} \Gamma^{8/3} (1+z)^{-1}$	Wind coasting regime
	$\eta_{c1} < \eta < \eta_{c2}$	$\propto L^{-1/12} t_{vm}^{-1/6} \Gamma (1+z)^{-1}$	Shell coasting regime
	$\eta > \eta_{c2} \sim 10^4(1+\sigma)^{-1/3}$	$\propto L^{1/4} t_{vm}^{-1/2} (1+z)^{-1}$	Shell acceleration regime

# Liang fundamental-plane relations

- More challenging to reproduce
- Simple photosphere and internal shock models fail
- ICMART possible



$$E_{p,z} \propto T_{\text{ph}} \propto L^{-5/4} \Gamma_0^6,$$

$$E_{p,z} \propto L^{1/2} \Gamma_0^{-2}$$

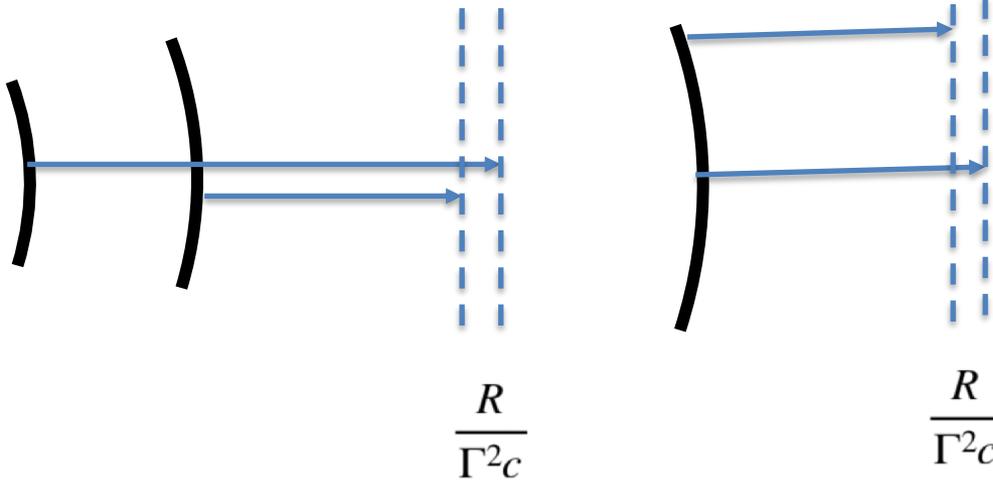
$$E_{p,z} \propto L^{1/2} R^{-1} \sigma^2.$$

$$L_{\gamma,p,iso,52} = 10^{-6.38 \pm 0.35} \left( \frac{E_{p,z}}{\text{keV}} \right)^{1.34 \pm 0.14} \Gamma_0^{1.32 \pm 0.19}$$

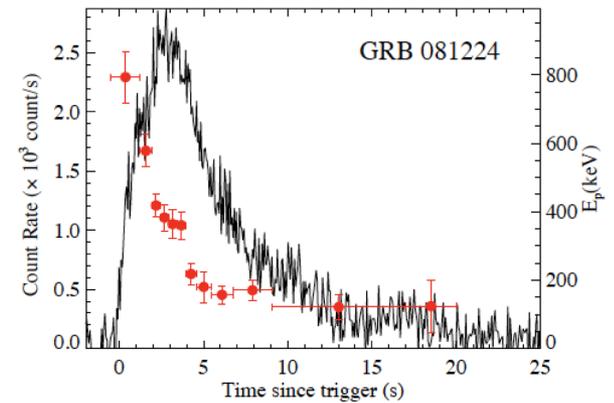
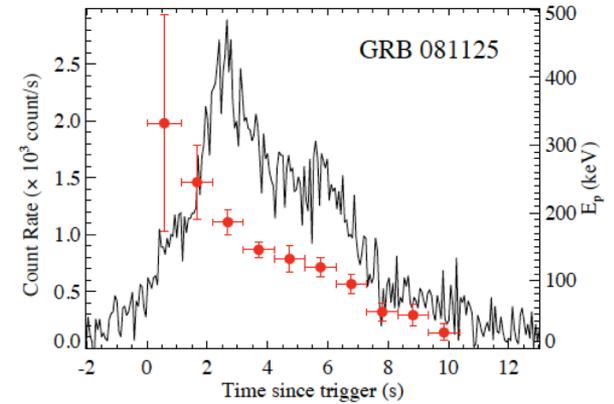
$$E_{p,z} = 10^{3.71 \pm 0.38} \text{ keV } L_{\gamma,p,iso,52}^{0.55 \pm 0.06} \Gamma_0^{-0.50 \pm 0.17}.$$

# Temporal properties

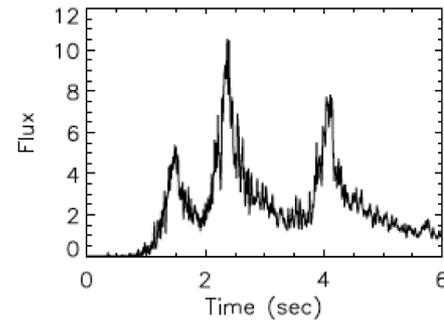
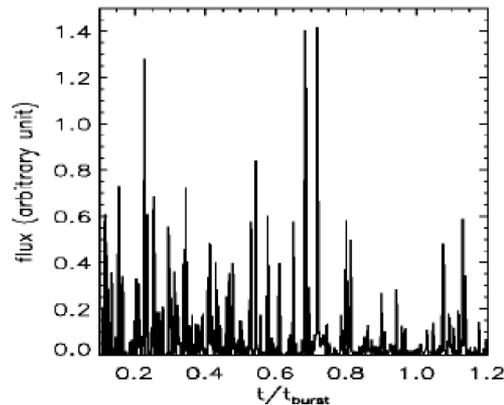
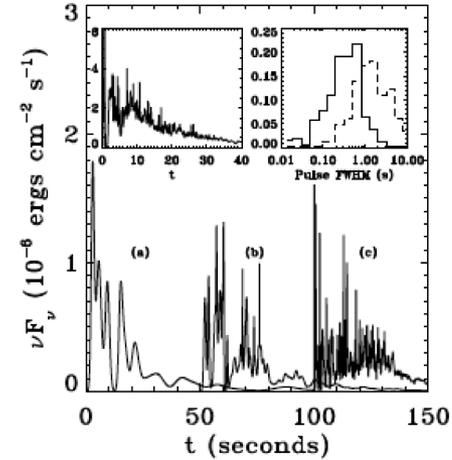
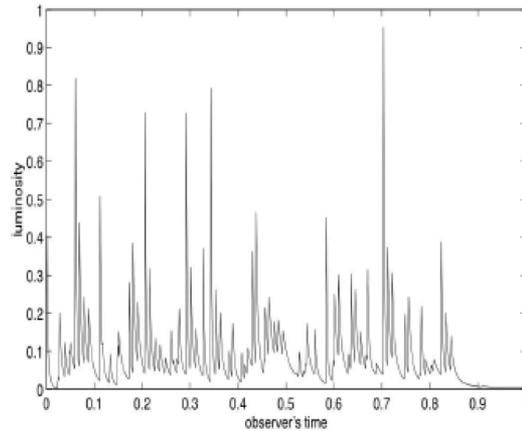
# Characteristic time scale:



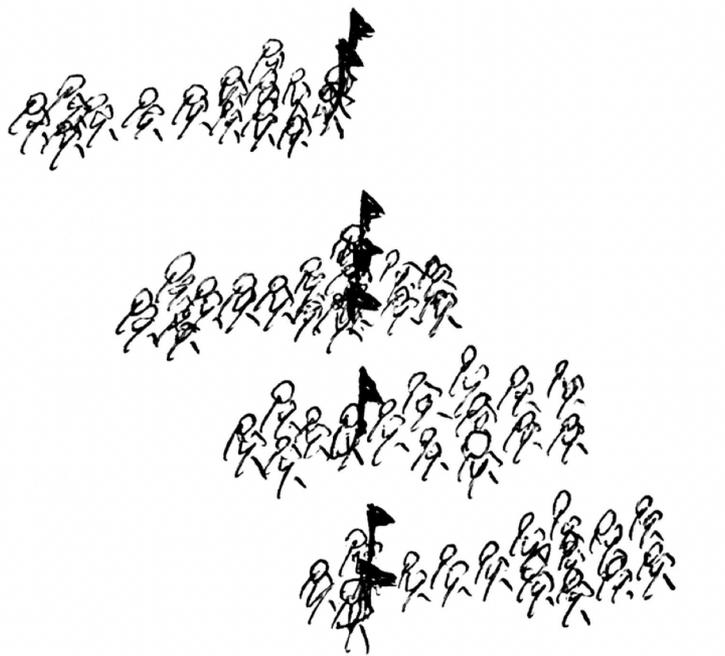
$$\Delta t \sim \frac{R}{\Gamma^2 c} \simeq (0.3\text{ms}) R_{11} \Gamma_2^{-2} \simeq (30\text{ms}) R_{13} \Gamma_2^{-2} \simeq (3\text{s}) R_{15} \Gamma_2^{-2}$$



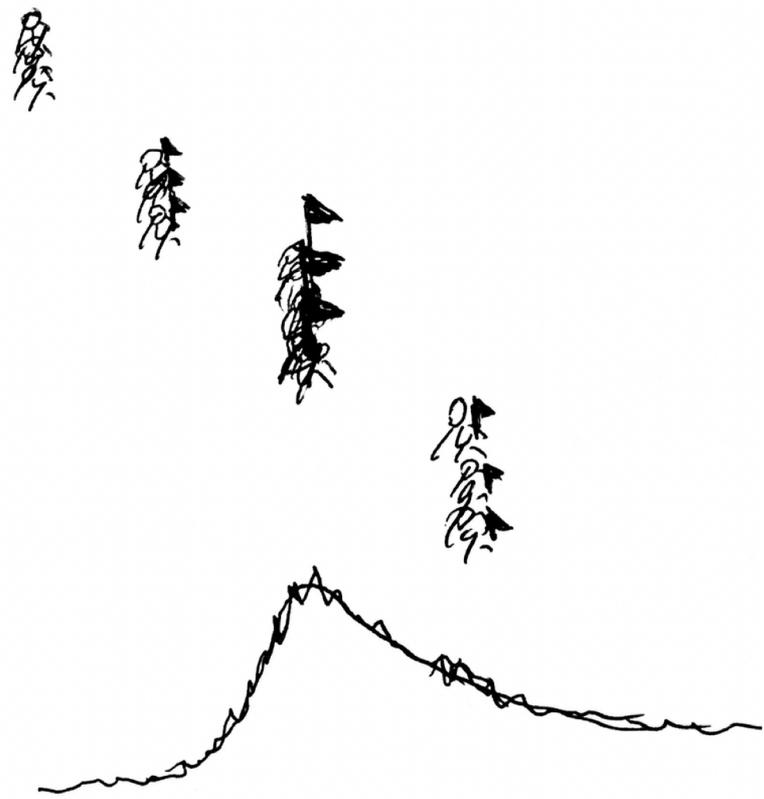
# Model lightcurves



*Kobayashi et al. (1997); Dermer & Mitman (1999)*  
*Narayan & Kumar 2009; Zhang & Zhang (2014)*



photosphere  
small-radius IS



ICMART  
Large-radius IS

Joint spectral & temporal properties:

Spectral lags

Ep evolution patterns

# Smoking gun #1: GRB pulses, Spectral lags & $E_p$ evolutions

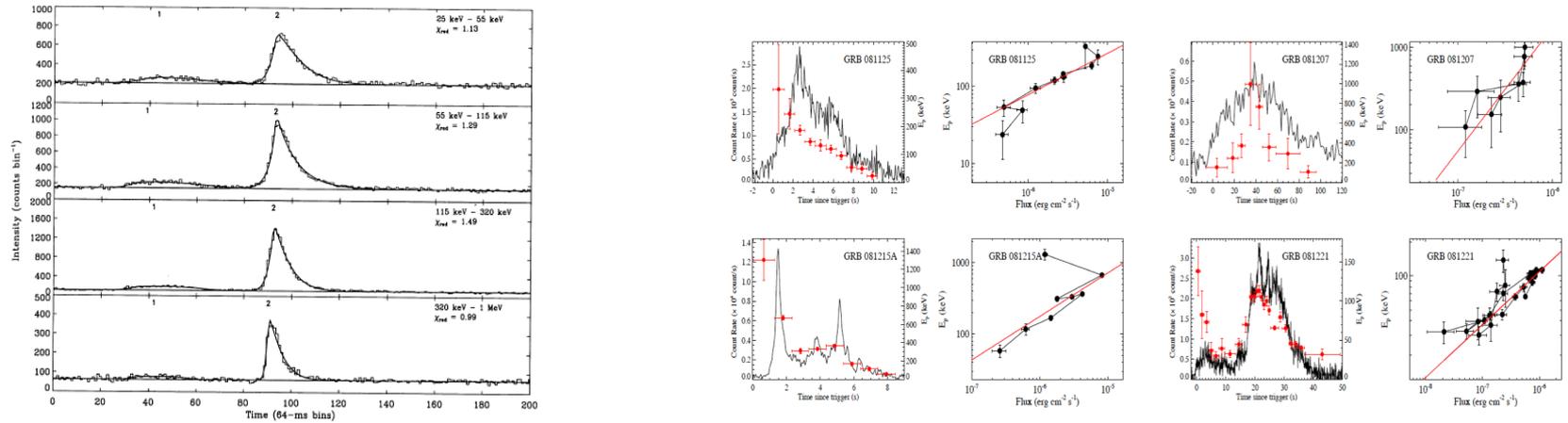
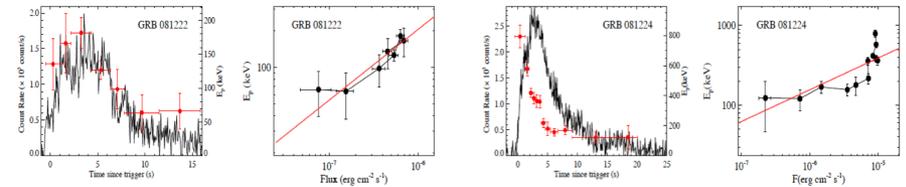
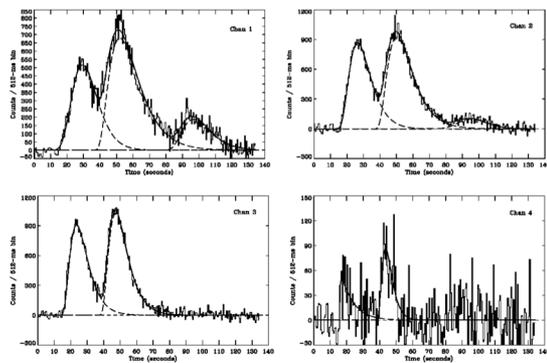


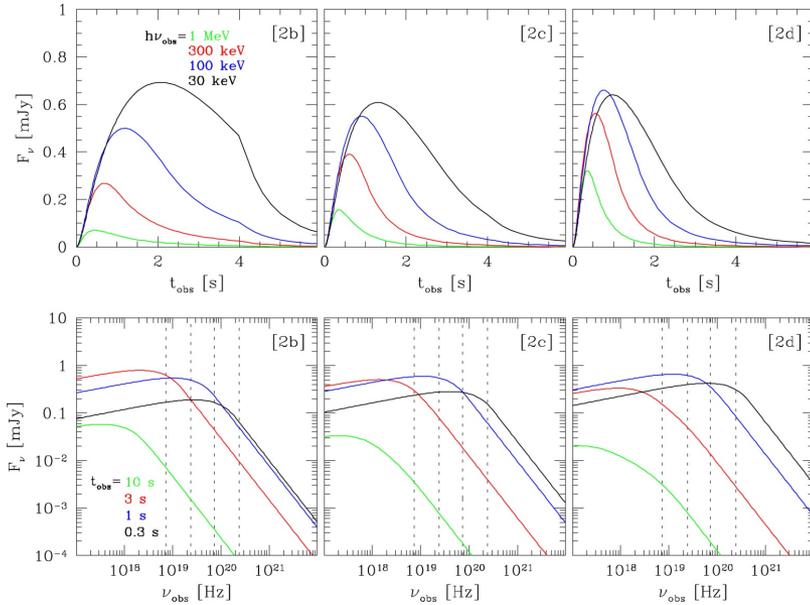
FIG. 16—BATSE trigger 999: a simple burst profile, with two fitted pulses. Both pulses, identified in all four channels, are considered separable since their overlap is insignificant.



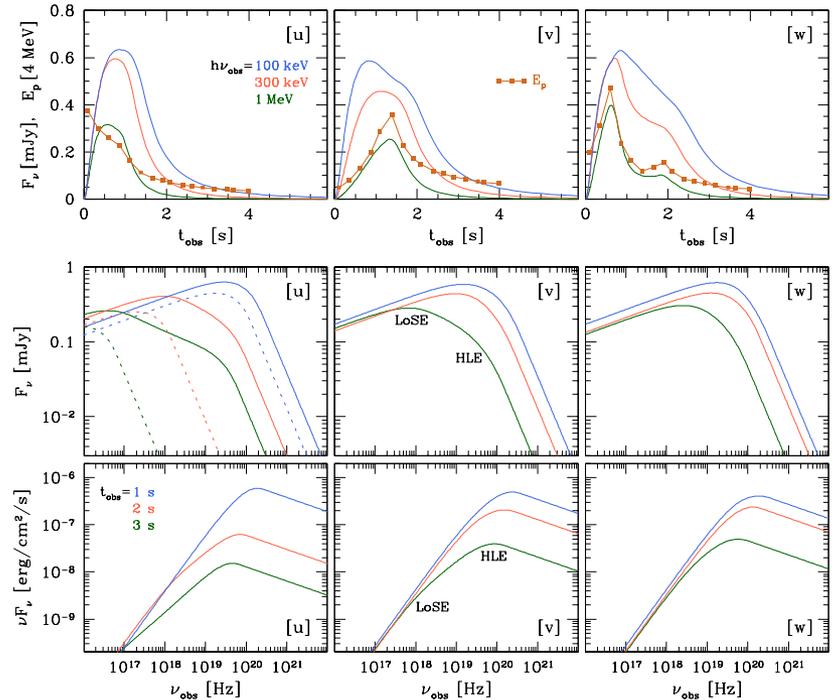
(Lu et al. 2012)

Norris et al. (1996)

# Spectral lags & Ep evolutions



Uhm & Zhang (2016)



Uhm, Zhang & Racusin (2018)

Model requirements:

1. **Large emission radius**
2. **Bulk acceleration**

$$r \sim \Gamma^2 c t_{\text{pulse}} \sim (3 \times 10^{14} \text{ cm}) \Gamma_2^2 (t_{\text{pulse}}/1 \text{ s}).$$

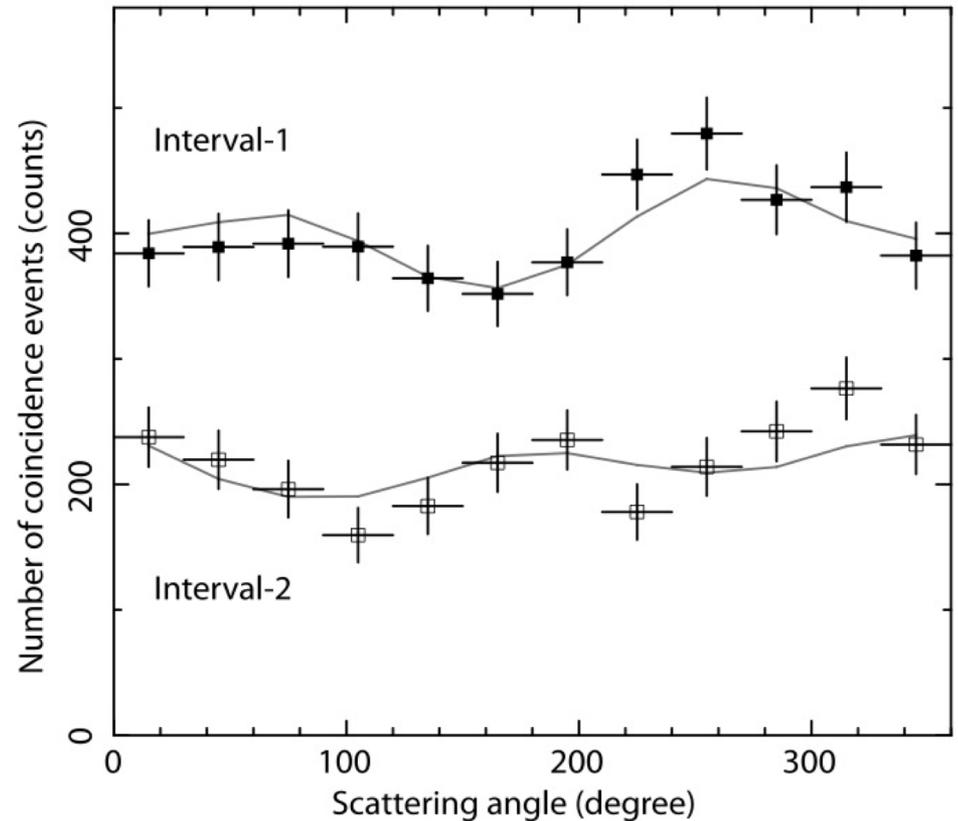
Other constraints:

Polarization  
Neutrino flux

...

# Polarization data

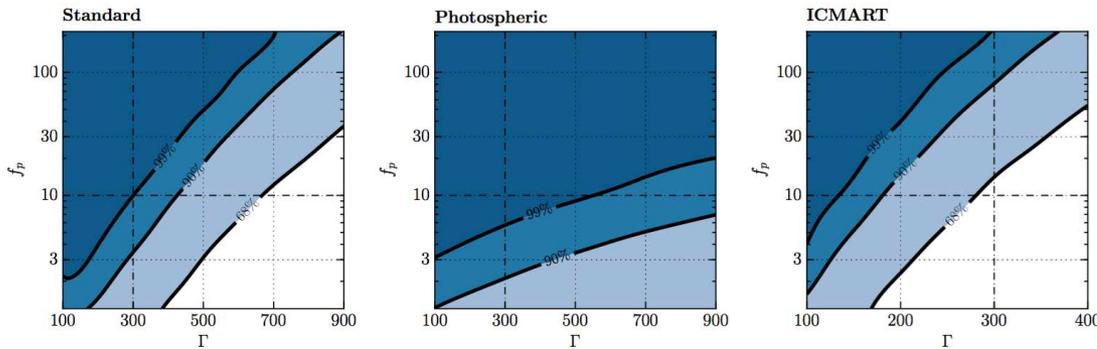
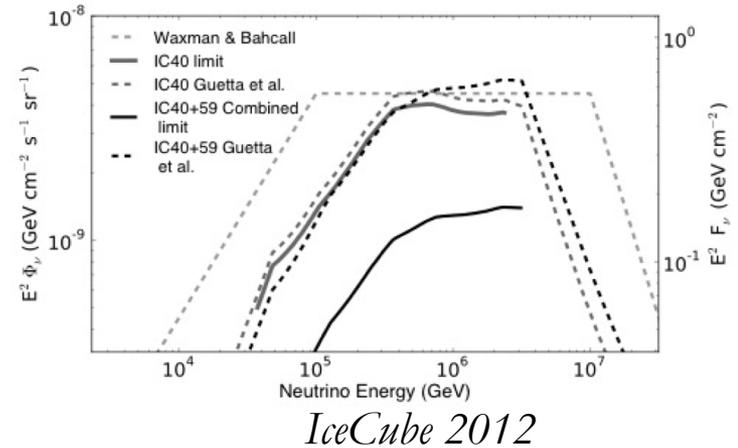
- Bright GRBs with polarization detections in gamma-rays: GRB 100826A:  $27\% \pm 11\%$  (Yonetoku et al. 2011); POLAR results (S.-N. Zhang et al. 2019);
- Early optical emission has “residual”  $\sim 10\%$  polarization from reverse shock (Steele et al. 2009; Mundell et al. 2013)
- Prompt optical emission  $8.3\% \pm 0.3\%$  polarization (Troja et al. 2017)



*Yonetoku et al. (2011)*

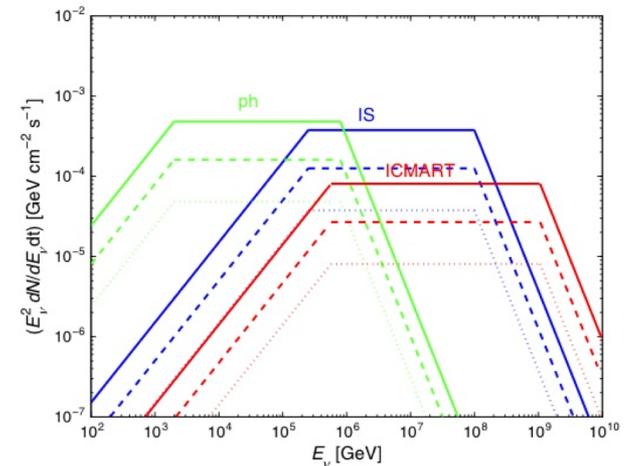
# Non-detection of neutrinos by IceCube

- Icecube so far has not detected any high-energy neutrino associated with GRBs!
- Consistent with a large emission radius (magnetic dissipation)



*Icecube collaboration 2016*

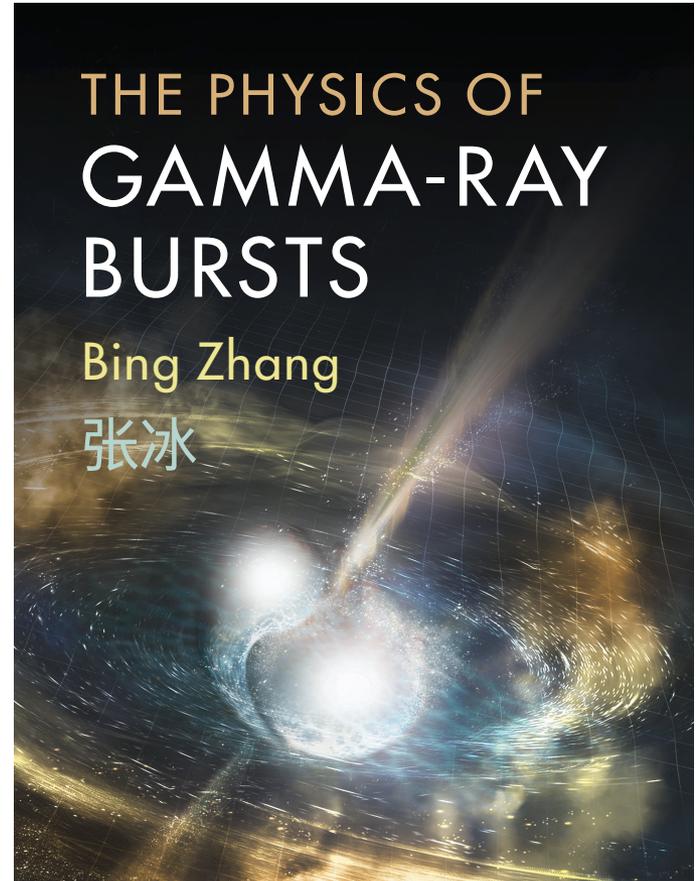
*Liu's talk*



*Zhang & Kumar 2013*

**Table 9.1** Grading chart for three representative GRB prompt emission models.

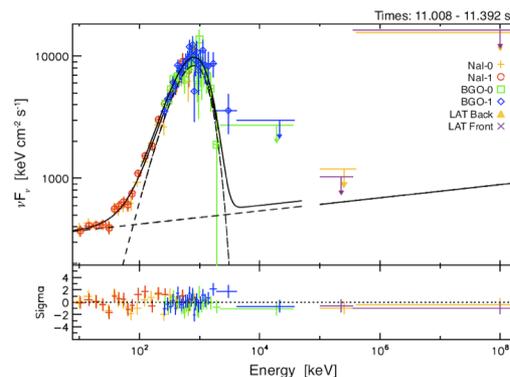
Criterion	photosphere	IS	ICMART
Lightcurve properties:			
Slow variability	Yes	Yes	Yes
Fast variability	Yes	Yes	Yes
Superposition	Yes	Yes	Yes
$E_p$ evolution: hard-to-soft	No	Yes(?)	Yes
$E_p$ evolution: tracking	Yes	Yes(?)	Yes(?)
Spectral lags	No	No(?)	Yes
Power density spectrum	Yes	Yes	Yes
Spectral properties:			
Origin of $E_p$	Yes	Yes	Yes
$\alpha \sim -1$	Yes(?)	Yes(?)	Yes(?)
$\alpha > -2/3$	Yes	No	No
$\beta$	Yes	Yes	Yes
narrowness	Yes	Yes(?)	Yes(?)
$E_p$ distribution	Yes(?)	Yes(?)	Yes(?)
thermal component	Yes	No	No
high-energy component	No(?)	Yes(?)	Yes(?)
Other properties:			
$\gamma$ -ray radiative efficiency	Yes	Yes(?)	Yes
$\gamma$ -ray polarization	Yes(?)	Yes(?)	Yes
optical polarization	No(?)	No(?)	Yes
neutrino upper limit	No(?)	No(?)	Yes
three-parameter correlations	No(?)	No(?)	Yes(?)



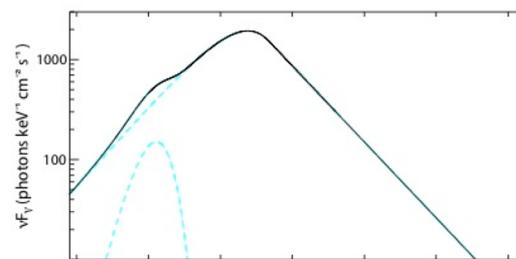
# Big Picture: GRB jet composition

- GRB jets have diverse compositions:
  - Photosphere dominated (GRB 090902B), rare
  - Intermediate bursts (weak but not fully suppressed photosphere, GRB 100724B, 110721A)
  - Photosphere suppressed, Poynting flux dominated (GRB 080916C)

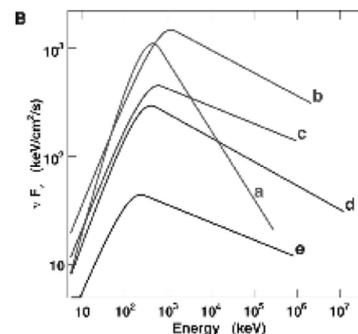
Most GRBs have significant magnetization



*GRB 090902B*



*GRB 110721A*

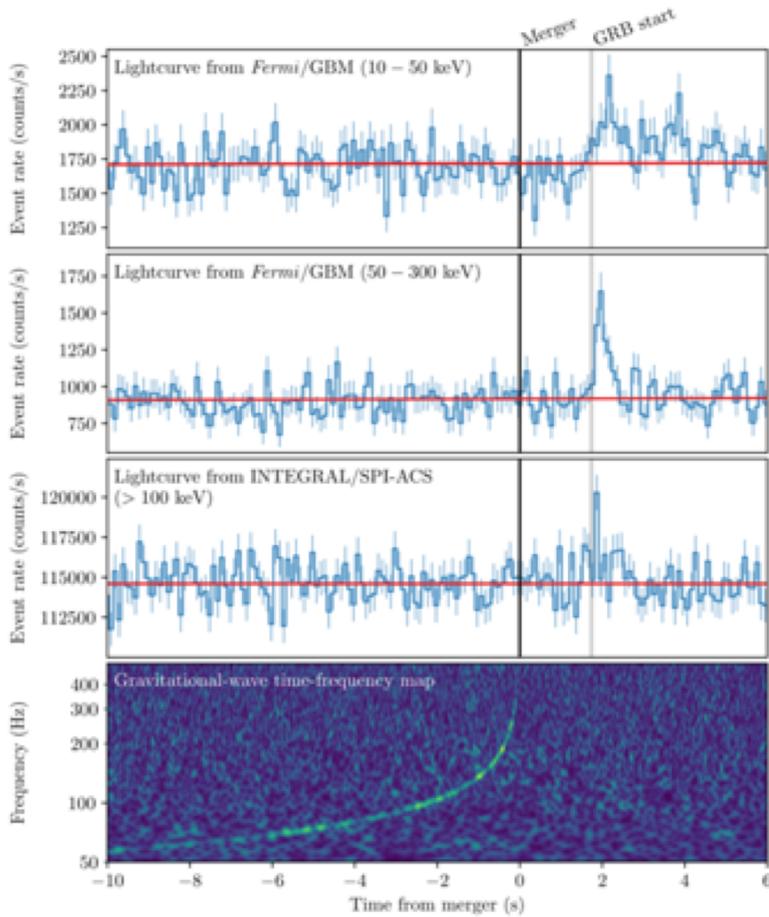


*GRB 080916C*

GW170817 / GRB 170817A

Provided T0!

# Origin of the 1.7 s delay?

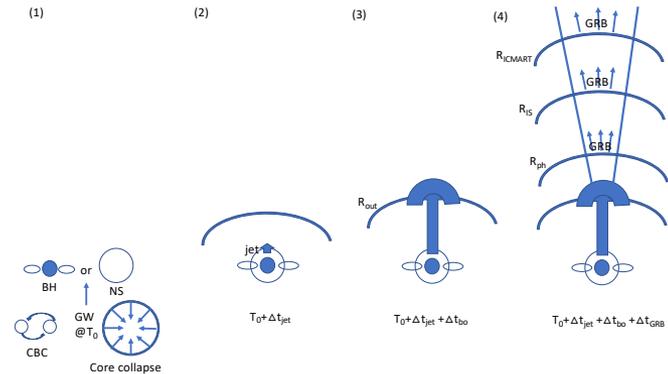


$$\Delta t = (\Delta t_{\text{jet}} + \Delta t_{\text{bo}} + \Delta t_{\text{GRB}})(1 + z),$$

$\Delta t_{\text{jet}}$ : waiting time for jet launching  
 $\Delta t_{\text{bo}}$ : jet breakout time  
 $\Delta t_{\text{GRB}}$ : Time to reach GRB radius

$$\Delta t_{\text{GRB}} \simeq (1 - \beta \cos \theta) \frac{R_{\text{GRB}}}{c} \simeq \frac{R_{\text{GRB}}}{\Gamma^2 c}$$

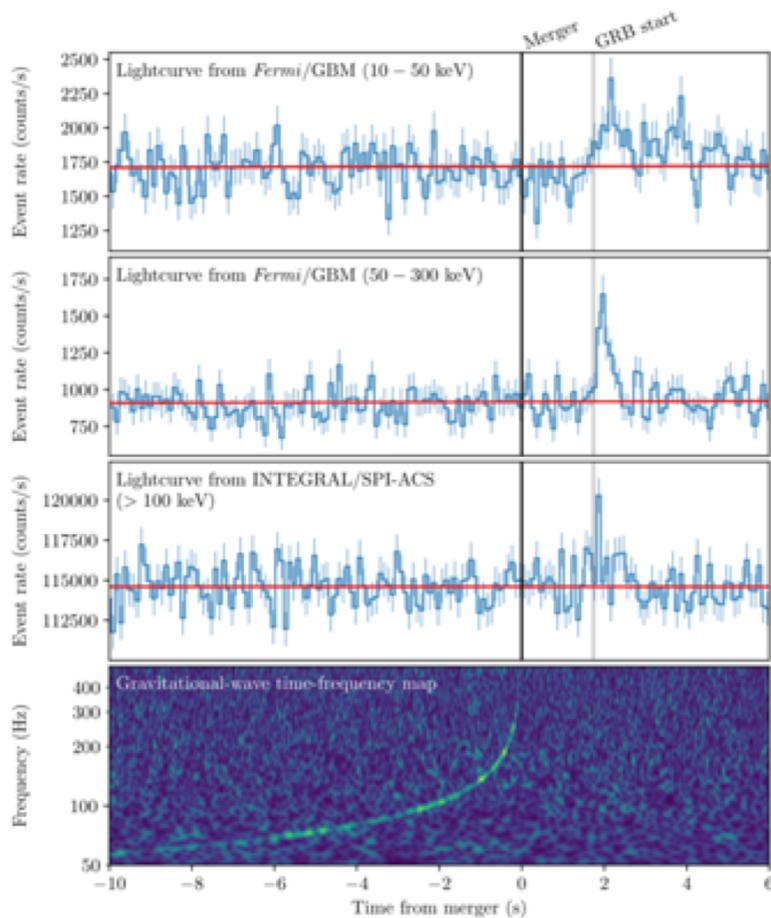
$$T_{\text{GRB}} \sim \frac{R_{\text{GRB}}}{\Gamma^2 c} \sim 2 \text{ s} \sim 1.7 \text{ s}$$



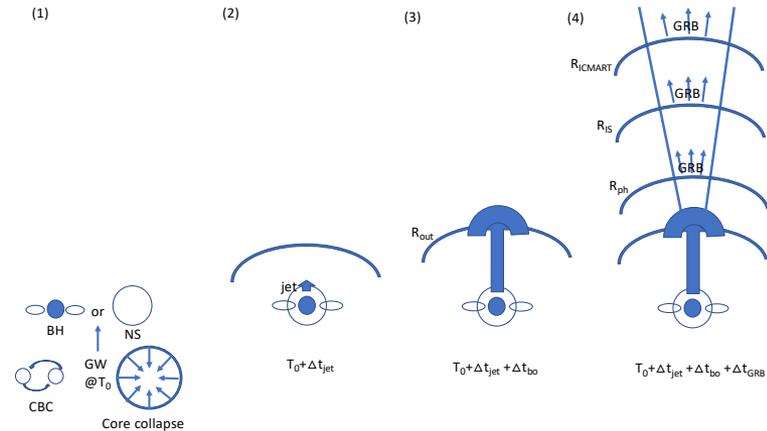
*Delay time ~ duration*  
*Not evidence of forming a BH*

Zhang, 2019, *Frontiers of Physics*, 14, 64402, *arXiv:1905.00781*  
 Talks of Burns & Hamidani

# Origin of the 1.7 s delay?



*Delay time ~ duration*  
*Not evidence of forming a BH*



- Mostly contributed by

$$\Delta t_{\text{GRB}} \simeq (1 - \beta \cos \theta) \frac{R_{\text{GRB}}}{c} \simeq \frac{R_{\text{GRB}}}{\Gamma^2 c}.$$

- Too long for photosphere / small-radius internal shock
- Consistent with ICMART (B.-B. Zhang et al. 2018, Nature Communications, 9, 447)

# Summary

- The GRB prompt emission mechanism is still subject to debate.
- Open questions:
  - Jet composition
  - Energy dissipation mechanisms
  - Radiation mechanisms
- My best bet:
  - Jet composition is diverse
  - At least some (or most) GRBs carry significant Poynting flux
  - No model can interpret all GRBs

Everybody is correct (to some degree)!

All the models are probably relevant for some GRBs!